Strategic Management by INTOSAI Regions – A guidance

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Why this guidance?

- Need expressed by INTOSAI regions
- Change in IDI’s Strategic Planning Model
- Need for guidance on performance measurement and reporting
How can the regions use this guidance?

This guidance can be used by INTOSAI regions for:

- Advocacy of the region and SAIs
- Strategic Management of the region
- Service delivery to member SAIs
- Developing and implementing Performance Measurement system at a regional level
- Reporting on regional performance based on evidence-based data.
Regional Strategic Management Process

Step 1
Plan the plan/ Form regional team

Step 2
Consult with members and stakeholders to understand needs and expectations

Step 3
Consider current mandate, capacity, performance and environment

Step 4
Determine regional outcomes, priorities, service delivery model and capacity required

Step 5
Assess risks and identify risk mitigation measures

Step 6
Develop performance measurement system

Step 7
Develop operational plan

Step 8
Implement & Monitor operational plan

Step 9
Annual Report on performance based on evidence

Step 10
Independent evaluation (mid term/end of plan)
Stay Relevant, Sustainable Outcome, Leave no one behind, Empower through Facilitation, Align with INTOSAI, Work Together, Lead by Example, Keep it Manageable

**Value & benefits of INTOSAI Regions**

**Regional Capacity**
- Appropriate Mandate & Legal Framework
- Effective governance structure & processes
- Competent and motivated regional team
- Stable & Sufficient funding
- Regional network and pools of resource persons
- Regional strategy, methodology and technology
- Effective stakeholder management

**Regional Priorities**
- Support for SAI Independence
- Support for SAI Strategic Management, Performance Measurement & Reporting
- Support for leadership development
- Support for ISSAI compliant PA, CA & FA practices
- Support for implementing code of ethics
- Support for other core services provided by SAIs
- Support for auditing SDGs
- Support for communication and stakeholder management
- Support for professional staff development, HRM, IT and resource management

**Regional Support Mechanisms**
- Certification Programmes
- Research & Development of guidance & tools
- Quality Assurance Mechanisms
- Fostering communities of practices
- Blended Learning Programmes
- Donor coordination/support in getting donor funding
- On the job support
- Twinning / Peer Support

**Principles of service delivery**
- Stay Relevant, Sustainable Outcome, Leave no one behind, Empower through Facilitation, Align with INTOSAI, Work Together, Lead by Example, Keep it Manageable

**Regional Outcomes**
- Greater Independence of SAIs
- SAIs conduct high quality audits in priority areas e.g. SDGs, corruption
- SAIs lead by example in accountability, transparency & ethical behaviour
- Professional SAIs/Regions contributing by delivering value and benefits and thereby supporting Sustainable Development Goals (SDGs)
- SAIs are effectively led and managed
- SAIs have robust stakeholder engagement
- SAIs are well resourced
- Stronger region/Regional Identity

**Regional Service delivery**

**Governance, political, social, cultural + economic environment in the region**
Principles of service delivery

1. Stay Relevant
2. Focus on sustainable outcomes at SAI level
3. Leave no member SAI and SAI member behind
4. Facilitate to empower SAIs
5. Align with and build on INTOSAI efforts
6. Work together
7. Lead by example
8. Prioritise to keep it manageable
IDI & regional team to write out the guidance (early 2017)
Support regions in strategic management based on demand