



PEER REVIEW

Quality Assurance Tool



2016

Capacity Building Committee and
INTOSAI-Donor Cooperation meetings
Cape Town - South Africa

**Supreme Audit Office of the Slovak Republic,
Chair of the Subcommittee on Peer Reviews**

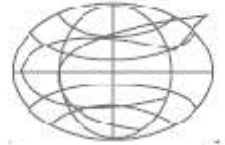


**Sub-committee
on
Peer Reviews
2013 - 2016**

Sub-committee tasks

To promote best practices and quality assurance by:

- **assessing and documenting existing peer reviews;**
- **making SAIs aware of peer review benefits;**
- **updating the Peer Review Guide for revision in 2016;**
- **disseminating peer review results.**



Sub-committee milestones

2005 Sub-committee established with SAI Germany as Chair

2012 SAI Slovakia became Sub-committee Chair

Sub-committee 8 meetings:

2007 in Bonn, Germany

2008 in Bonn, Germany

2010 in Bonn, Germany

2011 in Vienna, Austria

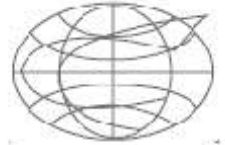
2012 in Luxemburg, Luxembourg

2013 in Bratislava, Slovakia

2014 in Lima, Peru

2015 in Stockholm, Sweden

Sub-committee on Peer Review products



surveys

*Four e-mail surveys in questionnaire format
conducted in 2012 - 2016*







year	number of peer reviews know to date	number of reports
2012	52	39
2014	66	14
2015	85	4
2016	91	X
total	91	57

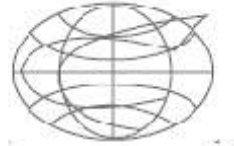


surveys

See reviews related documents at the CBC web site

<http://www.intosaicbc.org/>

language	number of reports	number of MoU
 English	46	5
 French	2	x
 Russian	1	x
 German	3	2
 Spanish	4	1
 Dutch	1	x
total	57	8



ISSAI 5600

Best practices and quality assurance



A hot air balloon with yellow and green stripes is floating in the sky. The balloon is positioned in the lower right quadrant of the image. The background shows a hazy landscape with rolling hills and fields under a clear blue sky. A purple oval with a dark blue border is superimposed over the balloon, containing white text.

**ISSAI 5600 -
revised
to be
released**

ISSAI 5600

Guide on Peer Review and PRAQ as part of the 4th level ISSAI Framework.

The revised guide builds on the original *ISSAI 5600*.

The original Peer Review Checklist has been developed and renamed “Peer Review Areas and Questions - PRAQ”

P R A Q

provides an updated list of possible areas for peer review and questions that might be asked.

ISSAI 5600-revised goals

To provide help to SAIs to successfully complete all stages of the peer review process.

To highlight the principles and options for SAIs to conduct a peer review.

To provide good practice examples from previous SAI peer reviews.

ISSAI 5600

Structure of the Guide-revised

chapter	present ISSAI 5600	chapter	revised ISSAI 5600
preface	Preface		X
1	Introduction	1	Introduction
2	Definition	2	Definition
3	Initial consideration	3	Strategic consideration
4	Peer review agreement (MoU)	4	Partners and resources
5	Preparation and conduct	5	Memorandum of Understanding
6	Follow-up and Evaluation	6	Planning
		7	Field work
		8	Reporting
		9	Implementation of results and follow-up
	glossary of terms		X
pages	46		Guide - 29 PRAQ - 27

Peer review promotion

During 2013 – 2016, The *Sub-committee* informed INTOSAI members and others about progress in the *Sub-Committee* work, ISSAI 5600 revision, survey results and other peer review related topics on:

www.intosaijournal.org

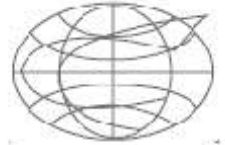
www.intosaicbc.org

www.issai.org

and, occasionally, at expert seminars like
Institute of Internal Auditors, Slovak Chapter

or

Annual Meeting of OECD Parliamentary Budget Officials
and Independent Fiscal Institutions.



Peer review promotion in future

- In 2017, in cooperation with the SAI of Norway a review of the *INTOSAI General Secretariat global project on SAIs independence* will be conducted.
- In 2017, a survey among INTOSAI members and study on peer review as method to apply INTOSAI assessment tools.
- In 2018, SAO SR as Sub-Committee Chair will organise a conference on peer review as method to apply INTOSAI assessment tools.
- Sub-Committee will continue to fulfil INTOSAI 2017- 2022 Strategic Plan and the peer review related issues development and feed-back collected information to the community.

DANKSCHEEN
 SPASIBO SNACHALNYTA MURUN CHULU YAQHANYELAY TYPALABATAM
 TASHAKKUR ATU MADEZJA MATYKA INP
 GRACIAS SUKSAMA EKHMET
 ARIGATO HERASTANYE GACJTHO
 SHUKURIA GOZAIMASHITA AGUYAK FAKKAR E
 EFCHARISTO KOMAP-SUMNIDA MAAKE
 GRAZIE MEHRBANI PALDIES
 BOLZIN MERCI
 TINGKI BIYAN SHUKRIA
 THANK YOU
 MERCI

