



IntoSAINT Post

March 2016

IntoSAINT is a self-assessment instrument for SAIs that they can use to analyse their integrity risks and assess the maturity level of their integrity management systems.

An IntoSAINT moderator can facilitate an integrity self-assessment for a Supreme Audit Institution. The self-assessment takes place in a structured two-day workshop. The instrument is targeted at corruption prevention and leads to management recommendations to support the integrity of the organisation. The self-assessment results in a report that enables the Supreme Audit Institution to design a tailor made integrity policy and at the same time increase the integrity awareness of employees.

IntoSAINT was developed by the Netherlands Court of Audit. We support the use of the instrument internationally. Are you interested? For additional information about IntoSAINT, or if your SAI would be interested in a moderator training or an IntoSAINT workshop, please contact i.dehaan@rekenkamer.nl or m.wilbrink@rekenkamer.nl or m.janson@rekenkamer.nl

Lessons learned meeting in The Hague (April 2016)

The second 'IntoSAINT lessons learned meeting' will take place at the Netherlands Court of Audit (NCA) in The Hague, on April 25 and 26.

For this second lessons learned meeting, we aim to gather a select company of people who have, in the recent past, been actively involved in either facilitating an IntoSAINT workshop or as a champion of IntoSAINT, promoting the instrument within their SAI, country or regional level. By keeping the company limited to some representatives per region with hands-on experience, we hope to make our discussions as effective as possible.

In the lessons learned meeting we aim to collect regional ideas and experiences concerning the IntoSAINT methodology, results and follow-up. We would also like to discuss plans to (further) embed IntoSAINT within existing INTOSAI structures. At XXIINCOSAI in Beijing we will report about the results and roll-out of the IntoSAINT initiative.

IntoSAINT Fact-sheet

The IntoSAINT CBC-workinggroup presented a Fact-sheet that can be used for communication purposes. The following topics are covered:

- Why invest in integrity?
- It is necessary for every SAI, even without (current) integrity issues!
- IntoSAINT short-term and long term benefits
- IntoSAINT as framework for audit work
- How does it work?
- Objectives of the IntoSAINT initiative
- Objectives of the IntoSAINT CBC working group
- Progress

The fact-sheet is attached to this post and can be found on the website: www.courtsofaudit.nl/intosaint

INTOSAI Capacity Building Committee Website

Our colleagues from Sweden and other colleagues linked to the CBC are working on the CBC website to fill it with interesting items and useful documents. IntoSAINT information will also have an update soon!



To make the CBC website available for exchange of capacity building experience and expertise, it is important to reach more stakeholders than the inner circle of CBC (however precious) members!

The first CBC Newsletter for 2016 was published. You can read it and subscribe for the newsletter by following this link:

http://www.intosaicbc.org/?wysija-page=1&controller=email&action=view&email_id=39&wysijap=subscriptions



Capacity Building Committee

Free download the book: Integrity management in the public sector - The Dutch approach

The book provides an overview and analysis of the Dutch integrity management approach. In the Netherlands, public integrity was placed on the agenda in the early 1990s. Dutch efforts in the field of integrity management can therefore be qualified as relatively long lasting.

Integrity management in the public sector, The Dutch approach describes how the Dutch integrity system operates from both a national (part I) and an organisational (part II) perspective. The final section of the book (part III) contains academic reflections.

All in all, the book provides insights that might inspire other countries in their own efforts to manage integrity. This book's target audience includes policy-makers, ethics and integrity entities, anticorruption agencies, integrity and compliance officers, as well as NGOs, students, and researchers.

It can be downloaded for free:

<http://www.integriteitoverheid.nl/international/publications/book-integrity-management-in-the-public-sector-the-dutch-approach/>

Comment the OECD integrity strategy

The OECD is developing a comprehensive integrity strategy to enhance prosperity and well-being through effective implementation of an integrity system.

Integrity is the backbone of political, economic and social structures and there is a growing awareness that corruption and other integrity breaches are still occurring or evolving. This has resulted in increased interest across countries in implementing a progressive integrity strategy.

As a result, the OECD Council invited the Public Governance Committee to

INTEGRITY MANAGEMENT IN THE PUBLIC SECTOR THE DUTCH APPROACH

Edited by Leo Huberts & Alain Hoekstra



update the 1998 OECD Recommendation on Improving Ethical Conduct in the Public Service. The draft Recommendation provides a comprehensive perspective in designing a coherent and focused integrity and anti-corruption strategy for the public sector and society as a whole.

The OECD is inviting any interested individuals or organisations to submit their comments on the draft Recommendation on Public Integrity from 22 February 2016 until 22 March 2016. This draft is still a work in progress and the content may be subject to modifications, including relevant comments received through the public consultation process. See: <http://www.oecd.org/gov/ethics/Public-Consultation-Draft-Recommendation-on-Public-Integrity.htm>

Next IntoSAINT Post

If you have contributions, please send them to Intosaint@rekenkamer.nl.

News from the regions

AFROSAI

AFROSAI-E

This year there are five countries that expressed interest and have requested to have IntoSAINT workshops conducted, namely; Lesotho, Swaziland, Tanzania, Malawi, Sudan. The challenge that Afrosai-E is having is funding, agreeing on dates with SAIs and availability of experienced moderators.

ARABOSAI

High level meeting in Qatar

On 9 December 2015 the Netherlands Court of Audit (NCA) organized a high level meeting on the roll-out of IntoSAINT in the ARABOSAI region. The meeting took place at the end of the fifth EUROSAI-ARABOSAI Joint Conference in Doha (Qatar) and was chaired by Mr Kees Vendrik, Vice-President of the NCA. High level representatives of the State Audit Bureau of Kuwait (SAB), the Audit Bureau of Iraq (FBSA) and the Cour des Comptes of Tunisia (CdC) participated in the meeting.





The aim of the meeting was to discuss at management level the implementation of IntoSAINT in the ARABOSAI region and to strengthen the commitment of the ARABOSAI leaders to stimulate the roll out of the instrument and to formulate concrete steps for the roll-out.

All participants showed their commitment and enthusiasm to implement IntoSAINT in the ARABOSAI region. They agreed on the importance of IntoSAINT and to present a roll-out plan at the ARABOSAI Governing Board Meeting on 21-23 March 2016 in Skhirat- Morocco.

ASEANSAI

Vietnam 2nd IntoSAINT workshop in November 2015

The SAI of Vietnam, the State Audit Office of Vietnam (SAV), had its second IntoSAINT workshop during 25-26 November 2015. The workshop was subsequent to the 1st one held in December 2012 and moderated by peers from SAIs of the Netherlands and Korea. The four Vietnamese ASEANSAI-certified IntoSAINT moderators have conducted the workshop. One IntoSAINT expert from the SAI of Indonesia and one senior expert from GIZ were invited in to help and observe the event to assure its compliance with the guidelines in the Manual IntoSAINT 2013.



The evaluation was conducted on the entire SAV. Nineteen junior leaders from 16 of the total 32 subordinate departmental units of SAV participated the workshop as the assessors, and around 25 organisational processes were pre-selected for assessment and evaluation. During the workshop, the assessors identified Contracting and Inspection/audit as the two most vulnerable areas, and Complexity, Change/Dynamics and Management be the three most important clusters of vulnerability enhancing factors to the present business processes of SAV. The assessors also pointed out Audit and monitoring, and Responsibility as the least mature clusters of controls in the integrity control system (ICS) of SAV.



After doing the gap analysis of the vulnerability and ICS maturity, the assessors came up with over 20 recommendations to reduce the vulnerability level and to strengthen the control maturity in SAV. A report of the workshop results after being re-formatted to the Vietnamese practical context was sent to one of the Deputy Auditors General who is currently in charge of international affairs and audit quality control.

The next IntoSAINT workshop is expected to be held in 2017.

Thailand



In 2016 the Office of the Auditor General of Thailand celebrates its 100th anniversary. To celebrate this they organized on 18 and 19 February an international symposium on the topic of the National Integrity System. Ina de Haan participated the occasion and shared the IntoSAINT experiences. Others speakers were: Mr. Tan Sri Dato' Setia Haji Ambrin bin Bung, Auditor General of Malaysia, Mr. Shashi Kant Sharma, Auditor General of India, Dr. Recai Akeyel, President of the Turkish Court of Accounts, and Mr. Pisit Leelavachiropas, Auditor General of Thailand. Present were members of SAIs from ASIA, donor organizations such as GIZ and the Worldbank and guest-speakers from Canada, the US and Hong Kong. De Auditor General of China, Mr. Liu Jiayi held the keynote speech.

Ina de Haan presented a paper written by her and Marion Janson, specific about IntoSAINT. During and after the symposium there was many interest for IntoSAINT. This paper and other papers can be found here: http://164.115.40.103/inter_asosai/symposium/index.php

OLACEFS

XXV General Assembly

During the XXV OLACEFS General Assembly, held on November 23-27 2015, in Queretaro City, Mexico, a panel of IntoSAINT experts took place, which was titled: "The Relevance of Integrity in Public Sector Organizations: Perspectives, Good Practices, and Lessons-Learned from OLACEFS member Supreme Audit Institutions." This group of experts was made up by Ms. Marion Janson, from The Netherlands Court of Audit (NCA), to know about the global roll-out of the tool; Ms. Nuria



Zumbado, from the Comptrollership-General of the Republic of Costa Rica, to know the experience at a national-level SAI; Mr. Renato Kanemoto, from the Federal Court of Accounts of Brazil, to know about the sub-regional implementation of the tool; Mr. Benjamín Fuentes, from the Superior Audit Office of Mexico, considering the Mexican experience at the national and sub-national level; and Mr. Osvaldo Rudloff, from the Comptrollership-General of the Republic of Chile, taking into consideration its experience of the self-assessment in Chilean public sector organizations (SAINT).

This panel was an excellent opportunity to share, before the audience of this official event, different perspectives regarding the value and benefits of IntoSAINT, as well as the lessons-learnt from the experience in Latin-America. Besides, a publication on strategies and best practices in SAIs in terms of integrity was written by the IntoSAINT moderators from OLACEFS, with the purpose to share the relevance of the tool and its positive impact in SAIs. Up to today, 9 Latin-American SAIs have implemented the IntoSAINT workshop: Belize, Brazil, Colombia, Costa Rica, Honduras, Mexico, Nicaragua, the Dominican Republic, and Uruguay. Chile has made relevant efforts in terms of the integrity self-assessment in the public sector.

Brazil IntoSAINT experience

The Federal Court of Accounts published an article about the IntoSAINT experience in its magazine. It is written by our colleagues Josinete Pereira dos Santos and Renato Kanemoto. The article describes, in a summarized manner, the set of eleven findings from the integrity self-assessment workshops with an emphasis on ethical aspects, conducted by the Office of Internal Affairs of the Brazilian Court of Accounts in 2014 and 2015. For that purpose, the selection criteria used were the recurrence of findings (frequency) and the corresponding thematic relevance. The article starts by describing the methodology used in the workshops (IntoSAINT). Next, the eleven findings are presented in an aggregated manner, in three categories: intrinsic vulnerabilities; factors that exacerbate these vulnerabilities; and measures of the integrity/ethics control system. Lastly, the main referrals and recommendations proposed as a result of the previously described findings are summarized. The magazine can be downloaded here (English/Portuguese):

<http://portal.tcu.gov.br/publicacoes-institucionais/periodicos-e-series/revista-do-tcu/>

Password and login code moderators

Have you forgotten the password and login code for the moderator-site?

Please mail m.janson@rekenkamer.nl.

Agenda

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|---------------------------|---------------|
| • Lessons learned meeting | April 2016 |
| • INCOSAI Abu Dhabi | December 2016 |

