

CBC work plan 2017

Task-force on INTOSAI Auditor Professionalisation (TFIAP)

Annual progress report (as at 31 August 2017)

Key to progress indicator colours	
	Initiatives / projects on schedule
	Initiatives / projects behind schedule
	Delayed owing to reasons beyond WS control
	Serious difficulties being experienced
	Not yet scheduled to start
	Initiatives / projects completed

Strategic objective (as per SP 2017-22)	Strategies & initiatives (as per SP 2017-22, and work stream ToR where relevant)	Performance / progress indicator	Progress, key action items, risks
<p>Strengthen structured INTOSAI professional development as an anchor for professional capacity development and potential INTOSAI auditor professionalisation.</p>	<p>Facilitate the development of standards and guidance for consideration by the FIPP related to auditors education, training and capacity development (“professional pronouncements for auditor competence”) and initiate processes and future structures for auditor professionalisation (e.g. a core competency framework) based on the outcomes of the work of the (previous) Task Group on INTOSAI Auditor Certification.</p>	<p>Availability to INTOSAI of a position paper on standards for auditor competence and related enabling tools, recommending the process for drafting and finalizing these together with FIPP, by September 2019.</p> <p>(detail contained in the TFIAP Strategic Concept, as available on the CBC)</p>	<p><u>Progress to date:</u></p> <ul style="list-style-type: none"> • Debriefing on INCOSAI 2016 resolutions to the old TGIAC and establishment of a new TFIAP, • Compilation of a draft strategic concept (work-plan) for this task force, proposing 4 distinct projects until 2019, as a tool to “launch” the new task-force, • Confirm a work-method with FIPP for this task-force (agreement on liaison officers), • Convened a first exploratory meeting of this task-force in quarter 2 of 2017, where the strategic concept was work-shopped, and where a basic work-method for project 1 (the development of a flow-chart / framework to drive further developments) was agreed, • Finalised the strategic concept for adoption in the Sept 2017 TFIAP meeting, • Developed a Framework for Professional Development Initiatives (FPDI) for adoption in the Sept 2017 TFIAP meeting, • Initiated contact, in consultation with SAI France, with a SAI with jurisdictional powers to lead work o project 2 to consider the applicability of the current INTOSAI competency framework on SAIs (contact with SAI Senegal),

Strategic objective (as per SP 2017-22)	Strategies & initiatives (as per SP 2017-22, and work stream ToR where relevant)	Performance / progress indicator	Progress, key action items, risks
			<ul style="list-style-type: none"> • Work to allow for work-space on CBC web-site to deal with professionalisation case studies and position papers on the auditor of the future is underway, • Initiated contact with an INTOSAI regional organization to take on the work of project 4 – development of guides on competency-based human resource practices. <p><u>Key next steps / action items:</u></p> <ul style="list-style-type: none"> • Convene TFIAP meeting after CBC meeting in Washington in September 2017 to consider the strategic concept, the FPDI and the work-plan for th next year, • Convene a small work-group within CBC to deal with the responsibilities of project 2, dealing with further refinement of the current INTOSAI competency framework, • Proceed with detailed work for all 4 projects, towards the next TFIAP meeting in Q2 of 2018, with first draft deliverables expected towards the end of 2018, and 2019 dedicated for consultation within the INTOSAI community <p><u>Key risk(s):</u></p> <ul style="list-style-type: none"> • Lack of continuity in membership of the task-force (a key risk that also impacted on the work of the old TGIAC). <u>Mitigating action</u> – confirmation of membership aimed at specific individuals per SAI / stakeholder will be sent out after the September 2017 TFIAP meeting, • Limited involvement of / consultation in the INTOSAI community. <u>Mitigating action</u> – e-learning solutions being considered as tool to assist in creating broader involvement and consultation, • Inadequate resourcing for the work of the TFIAP. <u>Mitigating action</u> – involvement of other SAIs / INTOSAI regional organisations to lead projects, provision in CBC / SAI SA for access to expertise / technology.