

INTOSAI



INTOSAI Capacity
Building Committee

21 September 2017

CBC Steering Committee Meeting 20 September 2017, Washington D.C., USA

Participants:

Chair/South Africa	Mr Kimi Makwetu
Vice Chair/Sweden	Mr Magnus Lindell
AFROSAI	
AFROSAI-E	Ms Meisie Nkau
ARABOSAI	Ms Nadeah Al Enezi
	Ms Azeeza Al Rushoud
ASOSAI	Mr Akira Imamura
ASEANSAI	Mr Ohbok Kwon
CREFIAF/Senegal	Mr Alhassane Thierno
CREFIAF/Cameroon	Mr Hassan Hassan
CAROSAI	-
EUROSAI	Ms Andrea Connell
OLACEFS	Mr Victor Lahiri Hart
PASAI	Mr Tiofilusi Tiueti
IntoSAINT	Mr Erwin Ramirez
Subcommittee on Peer Reviews	Mr Imrich Gál
Subcommittee on Cooperative Audits	-
Task Group on INTOSAI Auditor Professionalization	Mr Jan van Schalkwyk
Goal Chairs	PSC/Mr Rafael Lopez
	KSC/Mr Subramanian Sangaran
INTOSAI General Secretariat	Ms Monika Gonzalez Garcia-Koss
IDI	Mr Einar Gørrisen
INTOSAI-Donor Cooperation	Mr Gene Dodaro



The meeting was chaired by CBC Vice Chair Mr Magnus Lindell who welcomed everyone and underlined the importance of the administrative steps of the committee being properly taken, and that we follow the formal procedures of a steering committee.

1. Approval of the agenda

Agenda point 4d SAI PMF Annual Plan will be added

With this amendment, the Steering Committee approved the agenda.

2. Approval of draft minutes from CBC's Steering Committee meeting in Cape Town, 2016

Changes to the minutes from Cape Town in 2016 have been made according to suggestion by SAI Japan. The minutes now include the recommendations to INTOSAI regarding the Competency Framework (text added on pages 6 and 7).

With these changes, the Steering Committee approved the minutes from Cape Town, 2016.

3. Approval of draft CBC Terms of Reference

The draft CBC Terms of Reference were circulated to the committee in May. A revised version was sent out before the September meeting and adjusted according to comments received from SAI Japan. A track-changes version of the revised terms of reference was made available to the steering committee members.

The Vice Chair expressed gratitude towards SAI Japan for their vigilance. It was further clarified that the note in the Terms of Reference welcoming any INTOSAI member to contact the Chair if they have interest in becoming a member, means anyone can become member of the CBC, but in the CBC *steering committee* only the leaders of workstreams and regions etc. are allowed in.

The steering committee approved the Terms of Reference with the proposed changes and gave the CBC leadership the mandate to agree a template for the Terms of Reference for the workstream leaders.

4. SAI Performance Measurement Framework

a. Report on SAI PMF progress and future priorities

Einar Gørrisen (IDI) gave a progress report as well as a financial overview, and thanked the INTOSAI community, with a special thanks to SAI Japan, for valuable comments throughout the year. The SAI PMF progress report was positive and most goals were met.

The Vice Chair acknowledged the importance of the efforts made.

The Steering Committee took note of the presentation.

b. Report by SAI PMF Independent Advisory Group



Anahi Maranhao (SAI Brazil) reported on behalf of the SAI PMF Independent Advisory Group (IAG), which is led by SAI Brazil. She shared the Advisory Group's comments on the communication strategy and work- and financial plan for 2018. Ms Maranhao mentioned that the SAI PMF staff in IDI had been reduced, a key risk that deserves attention as it may cause a reduction of training courses for SAI PMF assessors and have impact on the sustainability of the number of assessments to be carried out in the near future. Also, the independent review function of the SAI PMF unit may be negatively impacted. To mitigate these risks, SAI in-kind contributions were, and continuously will be, very valuable.

The IAG stated that the Draft Communication Strategy for the SAI PMF is well designed and comprehensive. It is consistent, includes monitored goals and establishes different platforms to get the message across to the planned audience. The key messages are well aligned with the Implementation Strategy and the Success Factors are consistent with the resources and the goals.

The Vice Chair commented that it is excellent to have an independent board to advise the CBC and the IDI on the SAI PMF.

The Steering Committee took note of the report and the recommendations by the Independent Advisory Group.

c. Approval of Draft SAI PMF Communications Strategy

Cobus Botes, SAI South Africa, suggested to switch the objective and purpose of the document and supported the refinements and enhancements as mentioned in the presentation.

The steering committee welcomed the SAI PMF to the CBC family, took note of the reports, and approved the SAI PMF Communications Strategy with amendments as suggested.

d. Annual Plan of SAI PMF (agenda point added)

Anahi Maranhao as representative of the Advisory Group had sent small margin suggestions for changes in the annual plan which would be taken into consideration in the final version of the plan.

There was a suggestion that the Governing Board should approve the SAI PMF Annual Plan. The Vice Chair underlined that the responsibility for approving the SAI PMF annual plan has been delegated to the CBC steering committee by INCOSAI last year, in order not to burden the Governing Board with endorsements. However, SAI PMF will be covered in the report to the Governing Board by the CBC.

With these remarks and edits, the annual plan was approved by the Steering Committee.



5. Additional reports from CBC workstreams on particular initiatives

The first part of the Steering Committee session was held on the first day of the CBC Annual Meeting, when the progress reports were given by the workstreams. During this session, workstreams were invited to raise issues which required the approval or attention of the steering committee (see Appendix 1).

a. Subcommittee on cooperative audits (Peru)

The steering committee thanked the subcommittee for their work and took note of the report from the previous session.

b. Subcommittee on peer reviews (Slovakia)

The subcommittee took the opportunity to advertise the peer review conference due to take place in Bratislava in June, 2018. Further information will be submitted by e-mail, the website and via INTOSAI other channels.

The steering committee welcomed the upcoming conference on peer reviews.

c. IntoSAINT (Mexico)

The steering committee welcomed SAI Mexico as the new workstream leader and took note of the report from the previous session.

d. Task Force on INTOSAI Auditor Professionalization (South Africa)

The steering committee appreciated the information and took note of the report from the previous session.

e. Regional forum for capacity development (South Africa)

The steering committee took note of the report and expressed appreciation for the work carried out by the RFCD. They also thanked the forum for information about the upcoming meeting in Austria in November.

f. SAIs in fragile situations (Sweden)

The steering committee expressed its gratitude for contributions during the synergy session and looked forward to the outputs from the project group.

g. CBC Guidance (UK)

Kevin Summersgill spoke on the revision on the Capacity Building guide, asking members of the committee to comment by the end of October. The steering committee will later be asked to approve the guide via e-mail. Mr Summersgill also mentioned the second action on the dashboard report, the Guide on Audit Impact, and expressed SAI UK's willingness to take it on. The value of this guide will come from examples of the INTOSAI members, which steering committee members were encouraged to forward.



The steering committee encouraged further comments on the draft guide and agreed to approve the guide by e-mail and encouraged contributions to the guide on audit impact.

The Vice Chair also wished to take to the minutes the Steering Committee noted the Theme 1 discussion (INTOSAI Strategy for Capacity Development) taking place the day before. The input from the members and stakeholders will require some attention by the various workstreams and the secretariat (see Appendices 2-2a).

Also colleagues outside the CBC, IDS and IDI will contribute to this work. The tasks have been divided among the existing workstreams, as creation of more groups and more administration is not necessary when the CBC already have appropriate groups for finding solutions to the issues raised.

As regards peer to peer cooperation, the CBC and IDI will have to consider how best to respond to the ideas and suggestions from the session.

The steering committee took note of the two thematic discussions.

6. CBC website (Sweden)

The CBC website was reported on in the dashboard session. Those interested in the statistics or other details of the use of the website, were offered to read more about this when published on the website in the near future.

The steering committee encouraged further contributions and use by the members and took note of the report.

7. CBC Report to PFAC and 70th Governing Board

The steering committee reports to the Governing Board and annually informs PFAC about progress made. In order to do this, the CBC leadership will consolidate the dashboards, reflecting the work of the workstreams in an overview to the Governing Board and PFAC.

The steering committee took note of the report.

8. Venue and proposed dates for next meeting

The CBC aims to rotate the hosting between different regions, and the natural next step would be the ASOSAI or ARABOSAI region. Initial discussions have taken place, but the leadership awaits confirmation. The IDSC also needs to confirm whether or not they are interested in continuing the contiguous meetings. The CBC secretariat promised to come back on these issues as soon as possible. Other potential hosts were also encouraged come forward.

The steering committee took note of the information and gave the CBC leadership mandate to work towards continued contiguous meetings.



9. Any other business

An evaluation of the meeting took place with the Mentimeter. The results of the evaluation are available on the CBC website as part of the after-meeting documentation.

The steering committee took note of the results of the evaluation.

10. Closing remarks by CBC Chair

The CBC Chair Mr Kimi Makwetu concluded that the meeting days had been very full, but also very fruitful and thanked everyone for their input. The Chair also extended thanks to Ms Jennifer Thomson and the World Bank for hosting the meeting.

He briefly reflected on the three themes chosen for each of the three days: On Day 1 the theme was 'accountability and transparency' and the reports of the work streams were of such quality that it made it possible for the CBC to go to the Governing Board in October well-informed, and able to table a consolidated report giving an appropriate perspective on the progress made by the CBC in the last 12 months, and also about what is in the pipeline.

On Day 2 the theme was 'consultation and collaboration' and the inputs received from the guest speakers challenged our thinking and gave more than enough food for thought for intense discussions in the afternoon. The report from 3 staff members of the PSC, KSC and CBC demonstrated the value of collaboration to the benefit of INTOSAI.

Day 3 the theme 'responsiveness and partnering' covered both the morning session of the Regional Forum for Capacity Development, as well as the synergy session in the afternoon, and provided numerous examples of successful partnering and cooperation in support of stronger SAIs.

The Chair indicated that he was satisfied that the CBC had achieved its objectives for this week. He thanked every work stream, and every CBC member, for the hard work during the year and the enthusiastic participation during the three meeting days. He also thanked the valued CBC observers for their interest in the work of the CBC and for enriching the discussions.

The Chair undertook to update the members on the outcomes of the 70th Governing Board meeting, and to be in regular contact with regards to matters that have arisen from the Steering Committee session in Washington.