

## CBC work plan 2018-19

### Task-force on INTOSAI Auditor Professionalisation (TFIAP)

### Annual progress report (as at 15 August 2018)

#### Key to progress indicator colours

	Initiatives / projects on schedule
	Initiatives / projects behind schedule
	Delayed owing to reasons beyond WS control
	Serious difficulties being experienced
	Not yet scheduled to start
	Initiatives / projects completed

Strategic objective (as per SP 2017-22)	Strategies & initiatives (as per SP 2017-22, and work stream ToR)	Performance / progress indicator	Progress, key action items, risks
<p><b>Strengthen structured INTOSAI professional development as an anchor for professional capacity development and potential INTOSAI auditor professionalisation</b></p>	<p>Facilitate the development of standards and guidance for consideration by the FIPP related to auditors education, training and capacity development (“professional pronouncements for auditor competence”) and initiate processes and future structures for auditor professionalisation (e.g. a core competency framework) based on the outcomes of the work of the (previous) Task Group on INTOSAI Auditor Certification.</p>	<p><i>Deliverables at XXIII INCOSAI:</i> Position paper dealing with the roadmap towards professional pronouncements on auditor competence (for inclusion in IFPP)</p> <p>Guide on ‘Developing pathways for the professional development of auditors in a SAI’. (Including a video on the key steps of developing a professionalization pathway.)</p> <p>Updated competency framework, addressing at least the additional requirements for SAIs with jurisdictional responsibilities and additional inputs from extended comment period.</p>	<p><u>Progress to date:</u></p> <ul style="list-style-type: none"> <li>• Certification – now handled by IDI as a pilot, may require feedback loop before INCOSAI</li> <li>• Position paper – research commissioned; 1<sup>st</sup> draft expected by end of October 2018 (research results to be incorporated into the guide on professionalisation pathways).</li> <li>• TFIAP work-shopped the 1<sup>st</sup> draft of the guide on professionalisation pathways, which now includes the initial attempt at HR guidance (pending the full revision of the current CBC guide post 2019).</li> <li>• Completed a video on the key steps of developing a professionalization pathway, expected to be launched at the CBC meeting.</li> <li>• Re the updated competency framework, SAI Senegal has produced initial documents to guide this aspect of the TFIAP work, and the 2018 Forum of SAIs with Jurisdictional Responsibilities were updated on the project, with positive results. Project 4 currently working on an outline proposal.</li> </ul> <p><u>Key next steps / action items:</u></p> <ul style="list-style-type: none"> <li>• TFIAP meeting in November to consider the next version of professionalization pathway guide, with a view to preparing for exposure to the INTOSAI community for comment; also to consider the research outcomes, and make a call on the crafting of the roadmap position paper.</li> </ul>

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			<ul style="list-style-type: none"> <li>• Next meeting of Project 4 on jurisdictional competencies – date to be confirmed.</li> <li>• Launch of video on the key steps of developing a professionalization pathway at the 2018 CBC meeting.</li> </ul> <p><u>Key risk(s):</u></p> <ul style="list-style-type: none"> <li>• Inadequate resourcing for the work of the TFIAP. <u>Mitigating action</u> – involvement of other SAIs / INTOSAI regional organisations, to lead or support projects more, provision in CBC / SAI SA for access to expertise / technology.</li> <li>• Time challenges given the various process requirements to obtain comments on exposure documents.</li> </ul>