

CBC work plan 2019
Project Group for IntoSAINT (Mexico)
Annual progress report (June 2019)

| Key to progress indicator colours | |
|-----------------------------------|--|
| | Initiatives / projects on schedule |
| | Initiatives / projects behind schedule |
| | Serious difficulties being experienced |
| | Not yet scheduled to start |
| | Initiatives / projects completed |

| Strategic objective (as per SP 2017-22) | Strategies & initiatives (as per SP 2017-22) | Performance indicator | Action items and other comment |
|--|---|---|--|
| Strengthen structured INTOSAI professional development as an anchor for professional capacity development and potential INTOSAI auditor professionalization. | Advocate for and encourage the use of the IntoSAINT integrity tool to support SAIs as models of integrity, transparency and accountability. | Raise awareness-raising campaigns on the relevance of both integrity and the IntoSAINT tool for public sector institutions. | <p>Progress to date: Awareness-raising strategies have been carried out at the PASAI Governing Board, at the CAROSAI Governing Board, in the Iraqi public sector and among transparency bodies at the subnational level in Mexico. Discussions about the tool were also held with the NZ Ombudsman, the NZ Serious Fraud Office and the NZ State Services Commission, as well as the Commonwealth Ombudsman of Australia who works across the Pacific.</p> <p>Key next steps / action items: Awareness-raising activities are expected to be carried out at a high level in those regions where a further implementation of the tool is still pending: AFROSAI, ASOSAI and CREFIAF.</p> <p>Key risk(s): Lack of interest by Top Management members could prevent the progress of the tool.</p> |
| | | Launch regional calls to implement self-assessments of integrity in SAIs and in public sector organizations. | <p>Progress to date: The following institutions have implemented the Self-Assessment of Integrity in the last period: 8 subnational transparency institutions in Mexico (April-Nov 2018), SAI of Georgia (Spring 2018), SAI of Montenegro (Spring 2018), SAI of Ukraine (Spring 2018), SAI of the Netherlands (Nov 2018), SAI of Tunisia and one Tunisia’s public sector organization (late 2018), SAI of Nigeria (Jan 2019), Honduras’s Ministry of Education (Feb 2019), SAI of New Zealand (Feb 2019), SAI of Australia (March 2019), SAI of Jamaica (May 2019), SAI of Cayman Islands (May 2019), SAI of Cook Islands (May 2019).</p> <p>Key next steps / action items: Self-Assessments on Integrity foreseen in 2019 at the SAIs of Guyana, Kenya, Liberia, Panama, Puerto Rico, Samoa, Suriname and Venezuela, as well as in one Iraqi public sector organization and at the Cook Islands’ Ministry of Agriculture.</p> |

| Strategic objective (as per SP 2017-22) | Strategies & initiatives (as per SP 2017-22) | Performance indicator | Action items and other comment |
|--|--|--|---|
| | | | <p>Key risk(s): Lack of funding and institutional willingness could prevent the implementation of foreseen self-assessments of integrity.</p> |
| | | <p>Keep the materials updated to implement the self-assessments.</p> | <p>Progress to date: The IntoSAINT workstream has enriched and harmonized the workshop materials in different languages, in order to include the latest developments that have taken place in the INTOSAI Regional Organizations. This task has been completed by a 50% in 2018.</p> <p>Key next steps / action items: The remaining 50% of the activities will be done in 2019.</p> <p>Key risk(s): None.</p> |
| | | <p>Implement follow-up mechanisms to measure the impact of the self-assessments.</p> | <p>Progress to date: A survey to follow up the self-assessments of integrity was launched among OLACEFS member SAIs in December 2018.</p> <p>Key next steps / action items: A publication on integrity management in Latin-American SAIs will be drafted based on the survey results, and this publication will be presented at the 2019 OLACEFS General Assembly (October 2019).</p> <p>Key risk(s): Lack of information provided by the SAIs could affect the completeness of the publication.</p> |
| <p>Share capacity development insights and ensure responsiveness to SAI needs through, for example, communities of practice.</p> | <p>Advocate for and encourage the use of the IntoSAINT integrity tool to support SAIs as models of integrity, transparency and accountability.</p> | <p>Implement trainings for moderators to ensure that the experts on the IntoSAINT methodology are sufficient and duly qualified.</p> | <p>Progress to date: New moderators have been trained in the following regions: 27 public officers from 14 OLACEFS member SAIs (Jun-Aug 2018), 11 public officers from 4 PASAI member SAIs (Jan-Feb 2019), and 19 public officers from 19 CAROSAI member SAIs (Feb 2019). Integrity pledging sessions were held in 13 regional offices nationwide at the SAI of the Philippines (January-June 2019).</p> <p>Key next steps / action items: A moderator training in EUROSAI is expected to be held in 2019, under the umbrella of the EUROSAI Taskforce on Ethics. A training on the Integrity Management Program at the Philippines' Commission of Audit (COA) to be held in 2019.</p> <p>Key risk(s): Lack of funding could prevent the implementation of foreseen moderator trainings.</p> |
| | | <p>Carry out virtual and in-person activities to share</p> | <p>Progress to date: The 2018 IntoSAINT Global Meeting was held on July 4–6, 2018, in Mexico City, and it aimed to discuss the latest developments</p> |

| Strategic objective (as per SP 2017-22) | Strategies & initiatives (as per SP 2017-22) | Performance indicator | Action items and other comment |
|--|---|---|--|
| | | <p>knowledge among the workstream members, the Community of SAIs, certified moderators, donors, civil society organizations, international organisms, and other relevant stakeholders. Such activities may consist of periodic encounters, lessons-learned meetings, seminars, webinars, conferences, symposiums, among others.</p> | <p>and achievements undertaken by the IntoSAINT workstream members regarding the regional and global implementation of the INTOSAI Integrity Model. This meeting was also an opportunity to exchange experiences regarding the tool's worldwide roll-out.</p> <p>Additionally, a training on dilemmas was held in Morocco in 2018 with the support of the NCA, where 80 auditors were trained.</p> <p>Key next steps / action items: A lessons-learned meeting in EUROSAI among the SAIs of Georgia, Ukraine and Montenegro is expected to be held in 2019. Additionally, a lessons-learned meeting after the implementation of the first pilots IntoSAINT workshops in CAROSAI will be held in 2019. An update seminar for the current IntoSAINT moderators at the SAI of the Philippines to be held in 2019. Besides, the SAINT experience in Tunisia will be shared by the end of 2019.</p> <p>Key risk(s): Lack of funding could prevent the implementation of foreseen lessons-learned encounters.</p> |
| | | <p>Use of electronic tools, including the KSC-IDI Community platform, as well as any other complementary instruments available.</p> | <p>Progress to date: A Community of Practice (CoP) has been created on the CAROSAI e-Learning Platform (Feb 2019).</p> <p>Key next steps / action items: The IntoSAINT webpage on the CBC website will be updated in 2019, including information on the latest development herein described.</p> <p>Key risk(s): None.</p> |