Citizens’ involvement in public audit in Peru and across OLACEFS

June 17, 2020
Citizens’ Participation in the SAIs of OLACEFS
Some examples of citizens' participation initiatives in OLACEFS

**SAI of Argentina**
- Participative Planning

**SAI of Bolivia**
- "Alliance to strengthen citizen participation for the recovery of the Rocha River".

**SAI of Chile**
- GEO-CGR, Citizens' Control of Works
Mechanisms of citizen participation implemented by the SAI of Peru
Citizen participation promotes values and social control mechanisms.

Contribution to the National Control System:

- Input to the planning of Audit Departments
- Related Control Services / Operations
- Mitigation of corruption risks

Citizen participation leads to input in the planning of Audit Departments, which in turn influences related control services and operations, ultimately mitigating corruption risks within public services.
The program promotes the voluntary participation of citizens in the exercise of social control through citizen oversight of public works under 10 million soles.

In 2020, the scope was expanded to include the contracting of goods and services and other interventions involving public resources.
1. **Citizen Control Monitors Program – Results**

<table>
<thead>
<tr>
<th>Category</th>
<th>2018</th>
<th>2019</th>
<th>2020*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nationwide deployment</td>
<td>15 regions where MCCs were deployed</td>
<td>24 regions where MCCs were deployed</td>
<td>24 regions where MCCs were deployed</td>
</tr>
<tr>
<td>Accredited Citizen Monitors (MCC)</td>
<td>799 MCC accredited</td>
<td>2187 MCC accredited</td>
<td>305 MCC accredited</td>
</tr>
<tr>
<td>Works visited by MCCs</td>
<td>228 Works visited by MCC</td>
<td>1275 Works visited by MCC</td>
<td>234 Works visited by MCC</td>
</tr>
<tr>
<td>Visits by MCCs</td>
<td>280 Visits made by MCC</td>
<td>2234 Visits made by MCC</td>
<td>295 Visits made by MCC</td>
</tr>
<tr>
<td>Reports of non-compliance situations</td>
<td>140 Reports</td>
<td>1714 Reports</td>
<td>228 Reports</td>
</tr>
<tr>
<td>Penalties applied based on MCC alerts (in soles)</td>
<td>S/. 149,249.86 in penalties</td>
<td>S/. 1,036,422.85 in penalties</td>
<td>No penalties</td>
</tr>
</tbody>
</table>

* Information as of March 2020
1. CITIZEN CONTROL MONITORS PROGRAM – INDICATORS

Monitor profile

Mujeres: 47%
Hombres: 53%

Typology of works visited:

<table>
<thead>
<tr>
<th>Year</th>
<th>Educational Center</th>
<th>Water and Sanitation</th>
<th>Roads and sidewalks</th>
<th>Infrastructure and Buildings</th>
<th>Hospitals and Medical Posts</th>
<th>Highways</th>
<th>Riverine defences</th>
<th>Parks</th>
<th>Others</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018</td>
<td>63</td>
<td>62</td>
<td>60</td>
<td>22</td>
<td>8</td>
<td>10</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>228</td>
</tr>
<tr>
<td>2019</td>
<td>181</td>
<td>212</td>
<td>436</td>
<td>77</td>
<td>16</td>
<td>59</td>
<td>0</td>
<td>84</td>
<td>210</td>
<td>1,275</td>
</tr>
<tr>
<td>2020</td>
<td>17</td>
<td>13</td>
<td>22</td>
<td>7</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>9</td>
<td>14</td>
<td>83</td>
</tr>
<tr>
<td>Total</td>
<td>261</td>
<td>287</td>
<td>518</td>
<td>106</td>
<td>24</td>
<td>70</td>
<td>1</td>
<td>95</td>
<td>224</td>
<td>1,586</td>
</tr>
<tr>
<td>%</td>
<td>16%</td>
<td>18%</td>
<td>33%</td>
<td>7%</td>
<td>2%</td>
<td>4%</td>
<td>0%</td>
<td>6%</td>
<td>14%</td>
<td>100%</td>
</tr>
</tbody>
</table>

Recurring issues

Absence of Site Supervisor and Resident: Deficiency in the technical direction and quality control of the work
No construction log found: Risk of registration of phantom workers, and situations that cause additional work and deadline extensions
Technical deficiencies: Works that do not meet quality standards
No verification of programmed and/or executed progress: Delay in the execution of work

Age range:

- 18-29: 67%
- 30-59: 29%
- 59 and over: 3%

Instrucción:

- With a profession: 44%
- Without a profession: 56%
1. CITIZEN CONTROL MONITORS PROGRAM
   IMPLEMENTATION DURING THE COVID-19 HEALTH EMERGENCY

   Call for new MCCs on social networks (May). Total number of participants: 20,823

   Online training

   Remote surveillance of public entities.

   Virtual reports (304 during a remote surveillance operation related to the registration on the procurement database of the purchase information of food aid).
2. Public Hearings Program

They are spaces that promote citizen participation, with the aim of:

**Accountability**
The SAI of Peru reports on the simultaneous and ex-post control services it has performed.

**Right to Speak**
Citizens warn of alleged irregularities in the use of public goods and resources.

**Complaints**
Presented at the reception desk available at the Public Hearing.
# 2. Public Hearings Program – Results 2018 - 2019

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Hearings</td>
<td>128</td>
</tr>
<tr>
<td>Participating citizens</td>
<td>11,513</td>
</tr>
<tr>
<td>Citizens’ Alerts</td>
<td>3008</td>
</tr>
<tr>
<td>Interventions</td>
<td>180</td>
</tr>
</tbody>
</table>

![Map of Peru with public hearings locations]
## 2. Public Hearings Program – Audit Results

<table>
<thead>
<tr>
<th>Location</th>
<th>Economic damage: S/</th>
<th>SDG(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Loreto</td>
<td>13,490,730.51</td>
<td>SDG 4 Quality Education, SDG 6 Clean Water and Sanitation, SDG 11 Sustainable Cities and Communities, SDG 16 Peace and Justice Strong Institutions</td>
</tr>
<tr>
<td>- Improvement of the drinking water system.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Internal Orders Public Entities.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Improvement of school infrastructure. Expansion</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moquegua</td>
<td>13,526,631.84</td>
<td>SDG 6 Clean Water and Sanitation, SDG 11 Sustainable Cities and Communities</td>
</tr>
<tr>
<td>- Installation of drinking water, sewerage and storage system.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tacna</td>
<td>178,371.81</td>
<td>SDG 11 Sustainable Cities and Communities, SDG 16 Peace and Justice Strong Institutions</td>
</tr>
<tr>
<td>- Sale of Urban Land - Plot A7.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Direct sale of land from PMV Vista Alegre.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Sale of land of the PMV Mr. de los Milagros</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ucayali</td>
<td>1,264,798.63</td>
<td>SDG 7 Affordable and Clean Energy, SDG 16 Peace and Justice Strong Institutions</td>
</tr>
<tr>
<td>- Periodic maintenance of neighborhood road - Padre Abad.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lima Provincias</td>
<td>1,264,798.63</td>
<td>SDG 7 Affordable and Clean Energy, SDG 16 Peace and Justice Strong Institutions</td>
</tr>
<tr>
<td>- Audit to the Paramonga Municipal Electric Services Company, S.A.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Land sale, allocation and accountability MD Pacarán.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total economic damage: S/ 28,460,532.79
2. Public Hearings Program – Complementary Strategy

Informative Workshops "Social control in your hands"

**What are they?**

Spaces for citizen training and learning about the active role of citizen surveillance for social control, which are held prior to the Public Hearings "The Comptroller's Office listens to you". They are aimed at representatives of organized civil society.

**What is its purpose?**

Strengthen the capacities of organized civil society representatives, so that they assume an active and vigilant role in the exercise of social control through their alerts to contribute to government control.
2. Public Hearings Program

Implementation during the COVID-19 Health Emergency

• Webinar: Social Control in your hands

• Virtual Public Hearings: “La Contraloría listens to you”
3. Young Auditors Program

Promotes the development of a culture of citizen participation and integrity to exercise social control in schools, through the implementation of School Inspection.

The SAI of Peru has signed an agreement with the Ministry of Education for an indefinite period.

What is a School Inspection?

It is a mechanism for democratic participation of school children within the educational institution, through which a group of students, together with a teacher, organize themselves to observe the functioning of a public service in their institution or community and make proposals to improve it.
3. **YOUNG AUDITORS PROGRAM**

**ACCUMULATED RESULTS 2018-2019***

* Cumulative amounts as of 13/01/2020

- 359
- 628
- 69,188
- 2,197
3. Young Auditors Program
Main Issues Observed in School Oversight

- Cleaning and disinfection of bathrooms: 22%
- Operation of the School Kiosk: 18%
- Coexistence without violence in school institutions: 18%
- Functioning of the School Municipality: 18%
- Cleaning of common areas: 6%
- Other topics: 8%
Virtual school inspections are conducted by secondary school students with the support of their parents in order to contribute to the achievement of the results of the Government's distance learning strategy called "Learning at Home", as well as other related services such as the delivery of tablets, and provision of food by the Qali Warma Program.
3. Young Auditors Program

Implementation during the COVID-19 Health Emergency

- Awareness raising
  - DRE/UGEL/Directors/ APAFA

- Nationwide dissemination

- Training for students and parents

- Carrying out of the course and virtual inspection

- Issuance of reports on the results and their forwarding to the appropriate authorities

- Publication of results

- Follow-up on implementation of identified observations
4. **Website: ¡Yo Hago Mi Parte!**

**What is it?**

It is a technological, educational, innovative and friendly platform that facilitates access to good practices in Citizen Training and Participation, Social Control, Democratic Culture and Public Integrity.

**www.yohagomiparte.gob.pe**

**What is it for?**

To promote an active, participatory and responsible citizenship, which favors its leading role and an involvement in public affairs.