

CBC IntoSAINT Project Group  
First 2020 Virtual Meeting  
June 1 & 2, 2020

**Minutes**

**1. Participants**

IntoSAINT workstream members who attended the virtual meeting			
	<b>AFROSAI-E Secretariat</b> Mr. Gordon Kandoro		<b>Netherlands / EUROSAI</b> Mrs. Marion Janson
	<b>Cameroon / CREFIAF</b> Ms. Félicité Zobo		<b>New Zealand / PASAI</b> Mrs. Sarah Markley
	<b>Indonesia / ASEANSAI</b> Mr. Jarot Sembodo Ms. Vivi Silvy Devianti Ms. Kusuma Ayu Rusnasanti		<b>Philippines / ASEANSAI</b> Mrs. Luzvi Pangan Chatto Mrs. Melanie R. Añonuevo Mrs. Villa DJ. Bernaldo
	<b>Jamaica / CAROSAI</b> Mrs. Carolyn Lewis Ms. Nicaria Stewart Ms. Deledifi Dixon		<b>Tunisia / ARABOSAI</b> Mrs. Nesrine Selmi Ms. Chiraz Tlili
	<b>Mexico (chair) / OLACEFS</b> Mr. Francisco Parral Mrs. Alejandra Sánchez Ms. Nadia Santillán		

**2. Financial statements of the 2019 INTOSAI financial allocation to the IntoSAINT workstream**

On October 31<sup>st</sup> 2019, the IntoSAINT workstream chair received from the SAI of South Africa — CBC chair— a total amount of **8,135.64 euros**. By previous agreement of the IntoSAINT workstream members, such resources were used to implement Self-assessments of Integrity in CAROSAI during the first quarter of 2020: at the SAIs of Barbados, Montserrat and St. Lucia.

After the implementation of such self-assessments, the remaining funds amount to **414.95 euros**. Two transfers are still pending: to Mr. Gary Walters (SAI of Grenada) for meal expenses in St. Lucia, and to Mrs. Maria Odle-Manns (SAI of Barbados) for immigration taxes paid by her when leaving Montserrat. The remaining funds will be returned to the CBC chair.

The detailed financial statement is presented in annex 1, at the end of this document.

**3. Perspectives about the delivery of online self-assessments of integrity**

Due to the challenges brought about by the global health emergency of COVID-19, the workstream members discussed on alternatives to **possibly deliver online self-assessments of integrity**. The opinions stemmed from the discussion are presented in the following chart.

SAI / Region	Opinion
<b>AFROSAI-E</b> Mr. Gordon Kandoro	Due to the coronavirus emergency, AFROSAI-E is looking for possible ways to deliver online workshops. Part of the workshops can be online, and then the findings can be discussed with the participants in face-to-face focus group meetings. Something positive about using IT tools is that it allows the participation of a broader audience. Culture value assessments have been carried out online, and the results should not be disclosed unless a virtual high-level meeting or an underground analysis have been held.
<b>Cameroon / CREFIAF</b> Ms. Félicité Zobo	Online workshops have some advantages; however, some people are not used to the tool, and the workshop results could be compromised. Face-to-face discussions are preferable when holding IntoSAINT workshops.
<b>Indonesia / ASEANSAI</b> Ms. Vivi Silvy Devianti Ms. Kusuma Ayu Rusnasanti	During the pandemic, it is better to use a blended scheme to deliver self-assessments. For request of the CBC, everybody should get ready to use IT tools. The IntoSAINT workstream should have a guideline or strategic plan on how to develop the blended methodology, and it should consider the information security. We should have a methodology for both: the face-to-face methodology and the blended scheme. It will be great to have a pilot blended self-assessment for benchmarking purposes.
<b>Jamaica / CAROSAI</b> Mrs. Carolyn Lewis	There are some challenges for CAROSAI member SAIs regarding the use of IT tools. A recent survey indicated that internet connection issues are faced by many SAIs. CAROSAI is interested in knowing about a pilot case when it comes to implementing online IntoSAINT workshops. CAROSAI will have to do a review of the availability of technology to determine whether this can happen.
<b>Mexico (chair) / OLACEFS</b> Mr. Francisco Parral Mrs. Alejandra Sánchez	The workstream chair is open to new alternatives to possibly deliver online self-assessments. IT tools could be used to hold seminars and to introduce the tool. IntoSAINT workshops might be held using a mixed modality: through online and face-to-face means. In-situ activities must be dedicated to address sensitive issues during the self-assessment.
<b>Netherlands / EUROSAI</b> Mrs. Marion Janson	Online mechanisms have been implemented mainly for webinars and follow-up activities. Self-assessments of Integrity could be partially implemented through IT tool; however, for the main discussion face-to-face meetings are preferred, in order to make participants feel more comfortable when dealing with integrity issues. However, when the current situation continues, let's find out what we can do with online tools. There are online tools that support group discussions quite well, some even by showing individual opinions anonymously. Of course, this requires excellent IT security. In future this might also support large countries with big SAIs and lots of (distant) regional offices.
<b>New Zealand / PASAI</b> Mrs. Sarah Markley	New Zealand is open to try the online modality in order to test the idea. Explanations through videoconference could be held when too much interaction with the workshop participants is not required. On the other hand, online means could impact the results when having deeper discussions, so it's more convenient to use it for follow-up discussions.
<b>Philippines / ASEANSAI</b> Mrs. Luzvi Pangan Chatto Mrs. Melanie R. Añonuevo Mrs. Villa DJ. Bernaldo	The implementation of online activities is quite convenient, taking into consideration that the usual face-to-face learning mode might take some time to get restored. The structure of the online self-assessments should be designed, and using a blended approach under the new normal is convenient. Google forms could be a good tool to gather the workshop scorings and keep the information confidential.
<b>Tunisia / ARABOSAI</b> Mrs. Nesrine Selmi Ms. Chiraz Tlili	The application of online workshops could be easier for North African and some Middle-East countries, who are more familiar with the tool.

#### 4. Foreseen versus real progress of the tool in the regions

The **progress of the tool** in the regions reported by the regional focal points is presented in the following chart.

SAI / Region	Progress reported
AFROSAI-E	<p>IntoSAINT workshop in <b>Nigeria</b> (2019). Policy instruments were developed: integrity policy and code of ethics. <b>Integrity seminar</b> in Soudan (Jan 2020), where the political elite is promoting integrity at a high level after the revolution lived there. <b>Moderator training</b> and <b>roll-out strategy</b> are planned in the public sector of Soudan (last quarter of 2020). Integrity has been included into the AFROSAI-E strategy as a goal, and the implementation of <b>SAINT</b> and a <b>new moderator training</b> are intended. Current works to integrate the online modality of IntoSAINT into the <b>Culture Value Assessment (CVA)</b> tool. Pilots to be implemented in Angola and Liberia during the third quarter of 2020.</p>
ARABOSAI	<p>The Sharaka Project has been moving forward in terms of <b>training new moderators</b> and having <b>experience-sharing activities</b>. Iraq and Tunisia prepared two papers for the ARABOSAI governing board, which extended the invitation for the other ARABOSAI member SAIs to get on board and witness the benefits of the tool. <b>Monitoring actions</b> in Algeria. The results of the experience-sharing activities will be shared with the IntoSAINT workstream.</p>
ASEANSAI	<p><b>Integrity pledging sessions</b> were held at the central and all regional offices of the Commission on Audit, SAI <b>Philippines</b> and each employee has submitted his/her <b>signed Integrity Pledge</b>. The <b>Integrity Management Program (IMP)</b>, with integrity assessment harmonized with the IntoSAINT tool, has been adopted, and was included in the Strategic Plan of SAI <b>Philippines</b>. Two <b>Executive Briefings</b> on Integrity Assessment have been conducted, one for regional directors (Dec 2019) and one for all the SAI officials from the central office in Metro Manila (Jan 2020). In collaboration with the Asian Development Bank (ADB), auditor-participants to the Train the Trainers' Training on Integrity Assessment held at the SAI Central Office on 17-18 Feb 2020 were required to first undergo an ADB online course on Integrity. Two more Trainers' Training for regional offices were scheduled in March 2020 but were not conducted due to the coronavirus pandemic. Funding is required to have an IntoSAINT moderators' training at the Philippines Commission Audit.</p> <p>In Indonesia, 80% of the working units have been assessed. A <b>fraud risk program</b> and the IntoSAINT tool have been used in a combined way. <b>Integrity pledges</b> have been also implemented. <b>An awareness-raising campaign</b> to be promoted by Indonesia, as ASEANSAI General Secretariat. <b>Collaboration opportunities</b> in place between Indonesia and the Philippines to train more moderators. New Zealand could also be a potential strategic partner. IntoSAINT should also be included into the ASEANSAI Strategic Plan.</p>
CAROSAI	<p>Aruba, Belize and Curaçao had implemented the IntoSAINT workshop before 2019. Additionally, six SAIs have implemented the self-assessment: <b>Jamaica</b> (May 2020), <b>Cayman</b> (May 2019), <b>Barbados</b> (Jan 2020), <b>Montserrat</b> (Jan 2020), <b>St. Lucia</b> (Feb 2020) and <b>Grenada</b> (Feb 2020). Support from French-speaking members is requested to trigger the implementation of the tool in <b>Haiti</b>. Periodic follow-up mechanisms in the region coming ahead.</p>
CREFIAC	<p><b>Awareness-raising campaign</b> in place since the tool is barely known. Presentation held in the 2019 CREFIAC Governing Board meeting. <b>Gabon</b> is interested in having a self-assessment by the last quarter of 2020. <b>French material being updated</b> from the English version. Funds required to trigger the implementation of a moderator training, along with the support of Morocco.</p>
EUROSAI	<p><b>Moderator training</b> in July 2019: Albania, Estonia, Finland, Norway, Serbia and Turkey. <b>Albania</b> (March 2020), <b>Estonia</b> (Feb 2020) and <b>Finland</b> (Jan 2020) had workshops. <b>Serbia</b> has planned its workshop by next autumn. <b>Norway</b> would like to use the tool for capacity-building purposes.</p>
OLACEFS	<p>Due to the COVID-19, all the foreseen face-to-face activities in 2020 were postponed to 2021: IntoSAINT workshops in Chile and Puerto Rico, a SAINT workshop in Guatemala,</p>

SAI / Region	Progress reported
	and the in-situ meeting of a pilot follow-up review in a Latin-American SAI. Two activities are currently in development: the launch of a <b>publication</b> on the status of the integrity management in the region to be released in October 2020, and a <b>virtual training</b> for moderators on how to conduct follow-up reviews in OLACEFS (summer 2020).
PASAI	SAINT planned to be applied at the Ombudsman of <b>New Zealand</b> originally in April, but postponed to August 2020. SAINT workshops and a small moderator training in <b>Samoa</b> (late 2019 & early 2020). Formal certification of new moderators was scheduled for April but postponed due to travel restrictions – this will be rescheduled once travel is possible. IntoSAINT and SAINT workshops were planned at the SAI of <b>Tonga</b> and at the <b>Tonga Police Department</b> in March 2020, but were also postponed due to travel restrictions. Self-assessments were foreseen in the five states countries of <b>Micronesia</b> in May and will likewise be rescheduled once travel is possible, likely to be in 2021 although there is a hope this may be possible earlier (depending on the coronavirus situation). The profile of IntoSAINT is <b>promoted at a high level</b> with a regular update on the regional activities to each quarterly meeting of the PASAI Governing Board. PASAI has now also provided <b>funding</b> on an ongoing basis to assist the SAI of New Zealand to lead the roll-out of this tool.

## 5. Update of the IntoSAINT section on the CBC website

There is a need to **update the IntoSAINT information on the CBC website** ([www.intosaicbc.org/intosaint/](http://www.intosaicbc.org/intosaint/)) since the last update was done in 2016. The SAI of Mexico — workstream chair— will prepare a draft with the information to be uploaded (preferably region-structured), which will be distributed among the workstream members for feedback. Once the final contents are agreed, the workstream chair will request the SAI of Sweden —CBC vicechair— to update the information accordingly.

## 6. 2020 meeting of the CBC

Due to the global COVID-19 emergency, the 2020 meeting of the CBC Steering Committee will be held in two modalities: **written approvals** and **videoconferences**. The first modality consists of approving the following matters through e-mail by August 28, 2020: 1) draft minutes of the 2019 CBC Steering Committee, 2) workstream dashboard progress reports 2019-2020, 3) updated workstream dashboard plans for 2020-2022, 4) CBC financial report and budget for 2020-21, and 5) new members and any other membership issues.

As for the videoconferences, two seminars will be held: one about SAI capacities and COVID (June 9), and the second one on SAI-civil society relationships (June 17). The plenary session of the CBC Steering Committee will be held virtually on September 15, 2020.

The IntoSAINT workstream chair has to send the following documents to the CBC chair by July 17<sup>th</sup> 2020, at the latest, for final approval by the CBC Steering Committee: 1) IntoSAINT dashboard progress report 2019-2020, 2) updated IntoSAINT dashboard plan for 2020-2022, and the 3) 2019 financial allocation report (along with the support evidence). The SAI of Mexico will coordinate with the workstream members in order to reach the final version of such documents.

## 7. Final considerations

Indonesia proposes to make an extra effort to include IntoSAINT, if possible, in some other INTOSAI instruments, beyond the INTOSAI Strategic Plan for 2017-2022 and ISSAI 130. Even ISO 37001 about anti-bribery management system should be also included into the IntoSAINT methodology in order to make the tool attractive for application in the private sector.

**Annex 1. Financial statements of the 2019 INTOSAI financial allocation to the IntoSAINT workstream (amounts in euros)**

Concept	Debit	Credit
<b>INCOMES</b>		
Allocation provided by the CBC	\$ 8,168.21	
Transfer fee		\$ 28.07
Fee VAT		\$ 4.50
<b>Total amount received</b>	<b>\$ 8,135.64</b>	
<b>EXPENDITURES</b>		
<b>Self-Assessment in Barbados</b>		
Flight tickets for Mr. Francisco Parral (Mexico)		\$ 1,290.32
Flight tickets for Mrs. Vernitha Weekes (Montserrat)		\$ 766.55
Accommodation for Mr. Parral		\$ 735.06
Accommodation for Mrs. Weekes		\$ 803.83
Luggage transfer fee for Mr. Parral		\$ 82.28
<b>Subtotal Barbados</b>		<b>\$ 3,678.03</b>
<b>Self-Assessment in Montserrat*</b>		
Flight tickets for Mr. Ricardo González (Mexico)		\$ 1,117.95
Flight tickets for Mrs. Maria Odle-Manns (Barbados)		\$ 834.18
Accommodation for Mr. González		\$ 576.85
Accommodation for Mrs. Weekes		\$ 576.85
Luggage transfer fee for Mr. González		\$ 56.45
<b>Subtotal Montserrat</b>		<b>\$ 3,162.27</b>
<b>Self-Assessment in St. Lucia*</b>		
Flight tickets for Mr. Gary Walters (Grenada)		\$ 342.00
Accommodation for Mr. Walters		\$ 538.39
<b>Subtotal St. Lucia</b>		<b>\$ 880.39</b>
<b>Remaining CBC funds</b>	<b>\$ 414.95</b>	