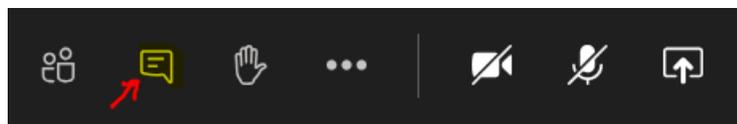


# CBC Steering Committee

Virtual meeting, 15 September 2020

Please write your name, organization and country in the chat

Function:



Example: Peter Pan, Office of the Auditor General, Never Never Land

# Please use the chat function to communicate

- During the formal part of the meeting, you are kindly asked to please keep your microphones on mute and your cameras off unless you are speaking
- If you would like to speak, please indicate by posting “I want to speak” using chat function – this will help us manage the order of speakers (please do not raise your “hand”)

# Procedure for voting

- When asked whether or not you support a report or decision, we kindly ask that you use the *chat function* to indicate your view, but only if you do not agree (this will help us keep the process simple and help us save time)
- Also please remember that only one representative per steering committee member can vote, and that observers have no right to vote

# Agenda

1. Welcome | approval of agenda
2. Report by CBC Chair
3. CBC work streams' progress and documents approved
4. Brief overview of outcomes of CBC June 2020 webinars
5. SAI PMF:
  - a. SAI PMF governance
  - b. SAI PMF implementation
  - c. SAI PMF Independent Advisory Group report
6. Report on INTOSAI strategic planning process
7. Report on goal chair collaboration, INTOSAI Crosscutting Priority 3
8. Format and proposed dates for 2021 meeting
9. Any other business
10. Closing remarks

# 1. Approval of the agenda

- The steering committee is asked to approve the agenda.

## 2. Report by CBC Chair – highlights during the last seven years

- New value proposition and strategy to build on past CBC successes
- Cooperative partnerships with internal and external stakeholders a key success factor
- More agile and flexible committee structure with new workstreams
- Responsibility to lead INTOSAI's work on auditor professionalisation

## 2. Report by CBC Chair – highlights during the last seven years

- Governance lead for SAI PMF, and established the Independent Advisory Group
- Converted CBC meetings to platforms for strategic dialogues, including a regional forum
- Joined forces with PSC and KSC to establish FIPP, and QC for non-IFPP documents
- Launched dynamic CBC website

## 2. Report by CBC Chair – lessons learned

- Full integration of INTOSAI professional affairs
- Apply more flexible and collaborative work methods
- Need a strategic focus on the needs of the auditor
- We need to do more for all INTOSAI regional organisations
- Importance of data for relevant INTOSAI strategy and professional support
- More regular engagements and effective work processes

## 2. Report by CBC Chair

- The steering committee is asked to take note of the report.

### 3. CBC work streams' progress and steering committee documents already approved

- The steering committee is asked to take note of the report.

# 4. Overview of outcomes of CBC June 2020 thematic webinars



# 9 June webinar on SAI relevance during COVID-19



Kimi Makwetu, Auditor-General of SA,  
Chair: Capacity Building Committee



Helena Lindberg, Auditor-General of Sweden,  
Vice Chair of Capacity Building Committee



Pamela Monroe-Ellis, Audit-General of Jamaica,  
Secretary-General of CAROSAI



Ed Olowo-Okere, Global Director, Governance Global Practice:  
World Bank, and Donor Chair: INTOSAI-Donor Cooperation



Einar Gørrissen, Director-General:  
INTOSAI Development Initiative

## 4. Webinar on SAI-civil society cooperation and citizen engagement

### *Presenters included:*

- SAIs (India, Philippines Peru, Netherlands, Jamaica, South Africa)
- Donors (European Commission, World Bank, GIZ)
- Civil society organizations (International Budget Partnership, Jamaica Accountability Meter Portal)

### *Main outcome:*

- Framework for SAI engagement and cooperation with civil society

## 4. Overview of outcomes of CBC June 2020 thematic webinars

- The steering committee is asked to –
  - Take note of the report
  - Support the development of a Framework for SAI engagement and cooperation with civil society

# 5. SAI PMF governance

SAI PMF Independent Advisory Group	
Member	Organization
Mr Carlos Sampaio de Freitas (Mr Horacio Vieira)	SAI Brazil
Ms Susanne Wille	European Commission (Chairperson)
Mr Sébastien Lepers (Mr Benjamin Negre)	SAI France
Ms Deborah Sprietzer (Ms Allizon Milicich)	Inter-American Development Bank
Mr Trygve Christiansen	SAI Norway
Mr Håkon Mundal	Norwegian Agency for Development Cooperation
Ms Josephine Manalo	SAI Philippines
Ms Charmain Felter	SAI Suriname
Ms Natalie Bertsch	Swiss State Secretariat for Economic Affairs
Mr Hassine Boussandel	SAI Tunisia

## 5. SAI PMF governance – framework revision

*Phase 1 revision (2020–2022)*

Light revision to ensure  
framework is up to date

*Phase 2 revision (w.e.f. 2022)*

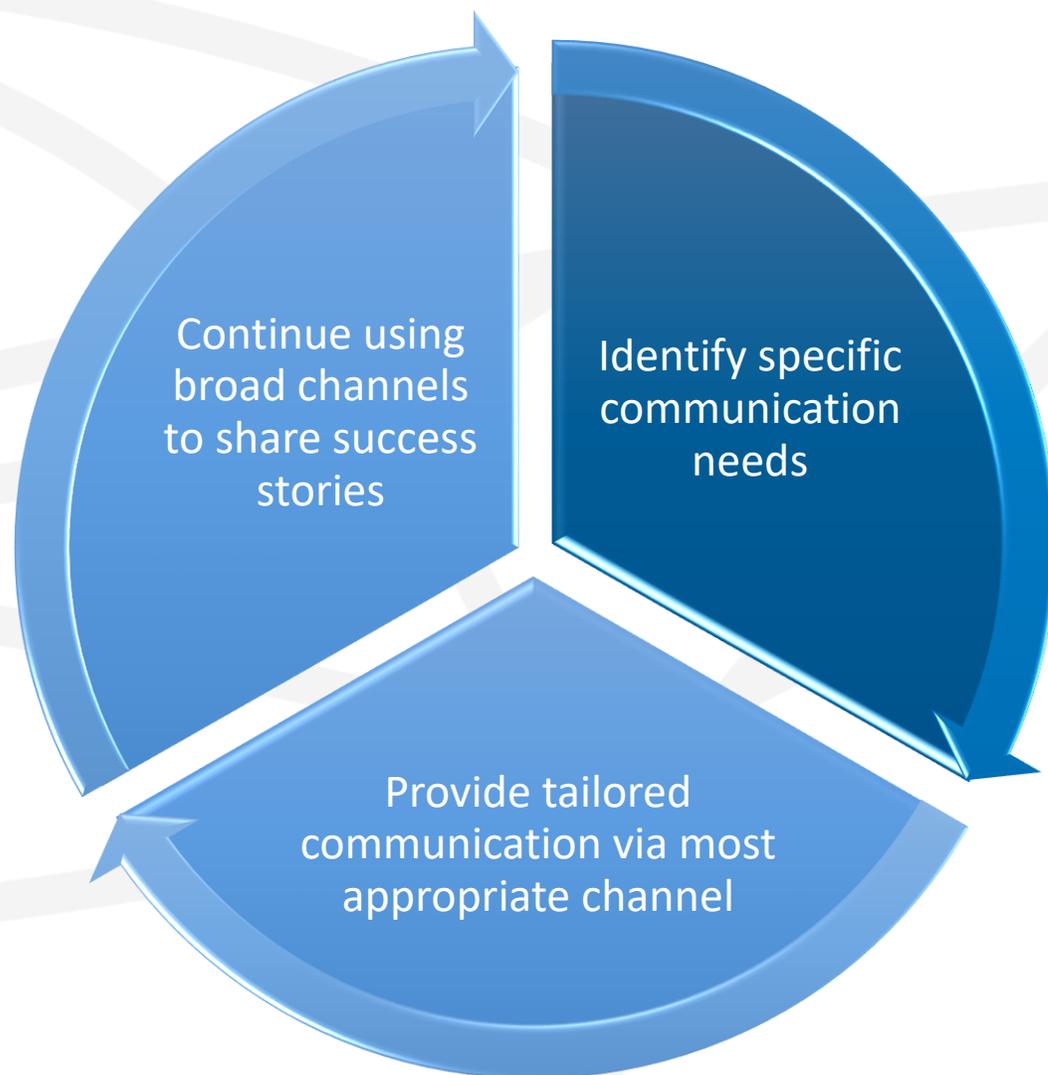
Extensive revision of  
6-year old framework



Phase 1 revision:

- User-survey provided valuable data base of user-insights
- Align the SAI PMF with IFPP
- Add INTOSAI P-50 (Principles of jurisdictional activities of SAIs)
- Correct other outdated references
- Enhance the text for more clarity

## 5. SAI PMF communication strategy



# SAI PMF Progress Update and Planning Forecast CBC meeting

15 September 2020



International Organization of Supreme Audit Institutions

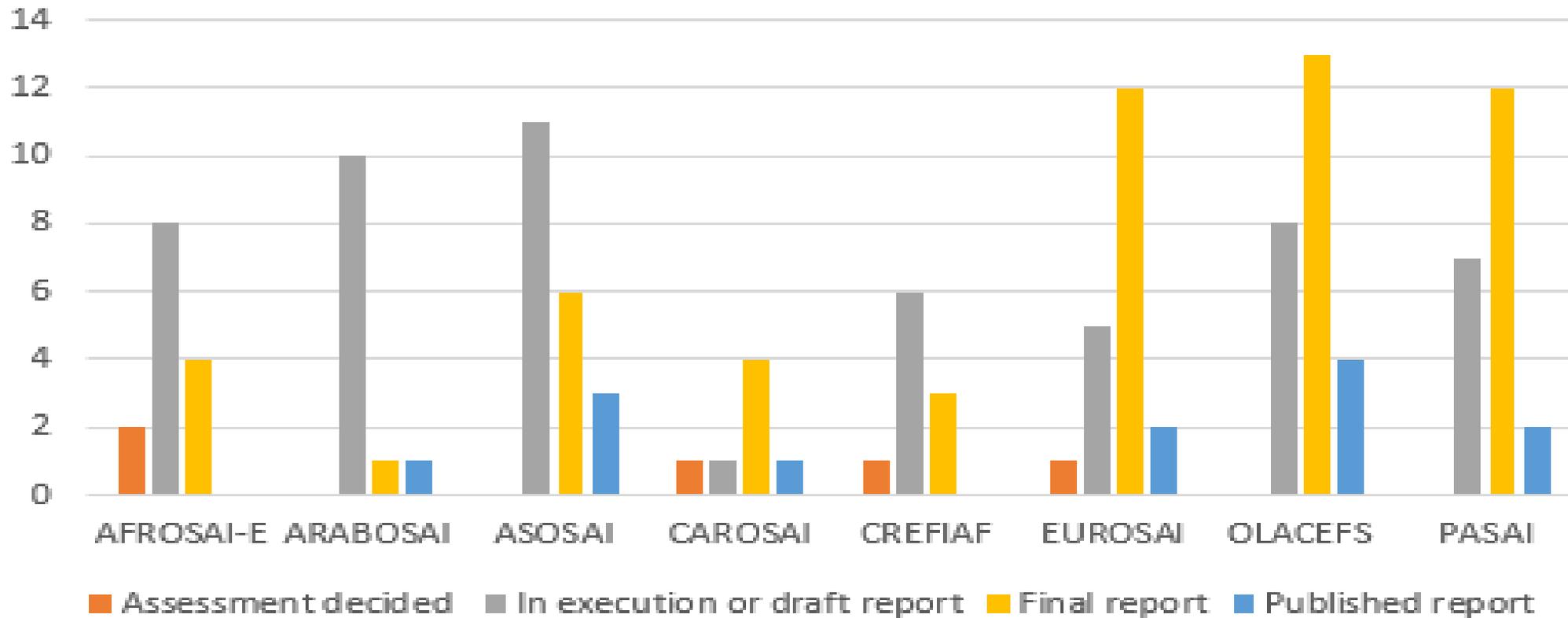
*"Supporting SAIs in strengthening performance and capacities"*

# Progress against SAI PMF strategic outcomes

SAI PMF Strategic Outcome	Related Outcome Indicator	Milestone 2020	Realization up to August 2020	Outlook end 2020
Outcome 1: Establish SAI PMF as a widely recognized tool in the INTOSAI community	1. Cumulative number of SAIs with a finalised SAI performance report	85	68	88
	a) First time assessments	a) 70	a) 62	a) 75
	b) Repeat assessments	b) 15	b) 6	b) 13
	c) Published assessments	20	13	15
Outcome 2: High-quality, credible and relevant assessments	2. % of conducted assessments finalized that includes an IR statement	60%	57%	68%
	3. % of conducted assessments that are reported as having been used as basis for SAI strategic planning and/or capacity building projects	90%	85%	89%

# Regional implementation

SAI PMF Assessment Status by Region



# Recent developments

First SAI PMF  
courses  
delivered as  
**eLearning**

**Light touch  
revision** of the  
SAI PMF  
framework  
initiated

**New** guidance  
material

**1321**  
Aggregate  
number of  
people trained

# SAI PMF assessments in a time of crisis

## Crisis

- Stakeholder perception/priorities may change
- New opportunities and threats materialising

## Crisis response

- Long-term: revise strategic plan
- Short-term: revise operational plan

## SAI PMF Foundation

- Ensures decisions are based on evidence
- Holistic overview of strengths to leverage on/weaknesses to improve

Build back better

# Planning forecast



## Concrete plans:

- Basic training delivered as eLearning (end 2020)
- Basic training, advanced training and IR course (2021)
- Continuous support including IR, although remotely for now

## Reflections on future implementation:

- Blended delivery - eLearning and face to face activities
- Risks:
  - not enough resources to conduct assessments
  - assessment may take longer

## 5. SAI PMF

The steering committee is asked to –

- Take note of the reports presented and thank the members of the IAG and the IDI
- Support the two-phased revision of the SAI PMF
- Approve the SAI PMF Communication Strategy 2020-22
- Endorse of the SAI PMF planning for the next year

# 6 / 7: INTOSAI strategic planning | Goal Collaboration



Task	Start	End
Internal & external scan surveys	September 2020	November 2020
Share internal & external scan findings with INTOSAI members	January 2021	April 2021
Draft strategic plan goals, activities, and objectives shared with INTOSAI members for initial review	May 2021	June 2021
Complete/share draft of strategic plan with all INTOSAI members	March 2022	July 2022
Issue Final INTOSAI Strategic Plan, 2023-2028	September/October 2022	

# 6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration



## *Crosscutting Priority 3:*

Coordination among PSC, CBC, KSC for SAI support and performance

## *Mapping of Goal 1, 2, 3 products and services:*

- ✓ Further improvement of audit standards and guidance
- ✓ Continued development of capacity to implement standards
- ✓ Enhanced access to knowledge and good practices
- ✓ Competition and encouragement to push and pull innovation

# 6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration



## *Forum for INTOSAI Professional Pronouncements :*

- Filling of vacancy
- FIPP effectively continuing its work

## *Further improvement of IFPP:*

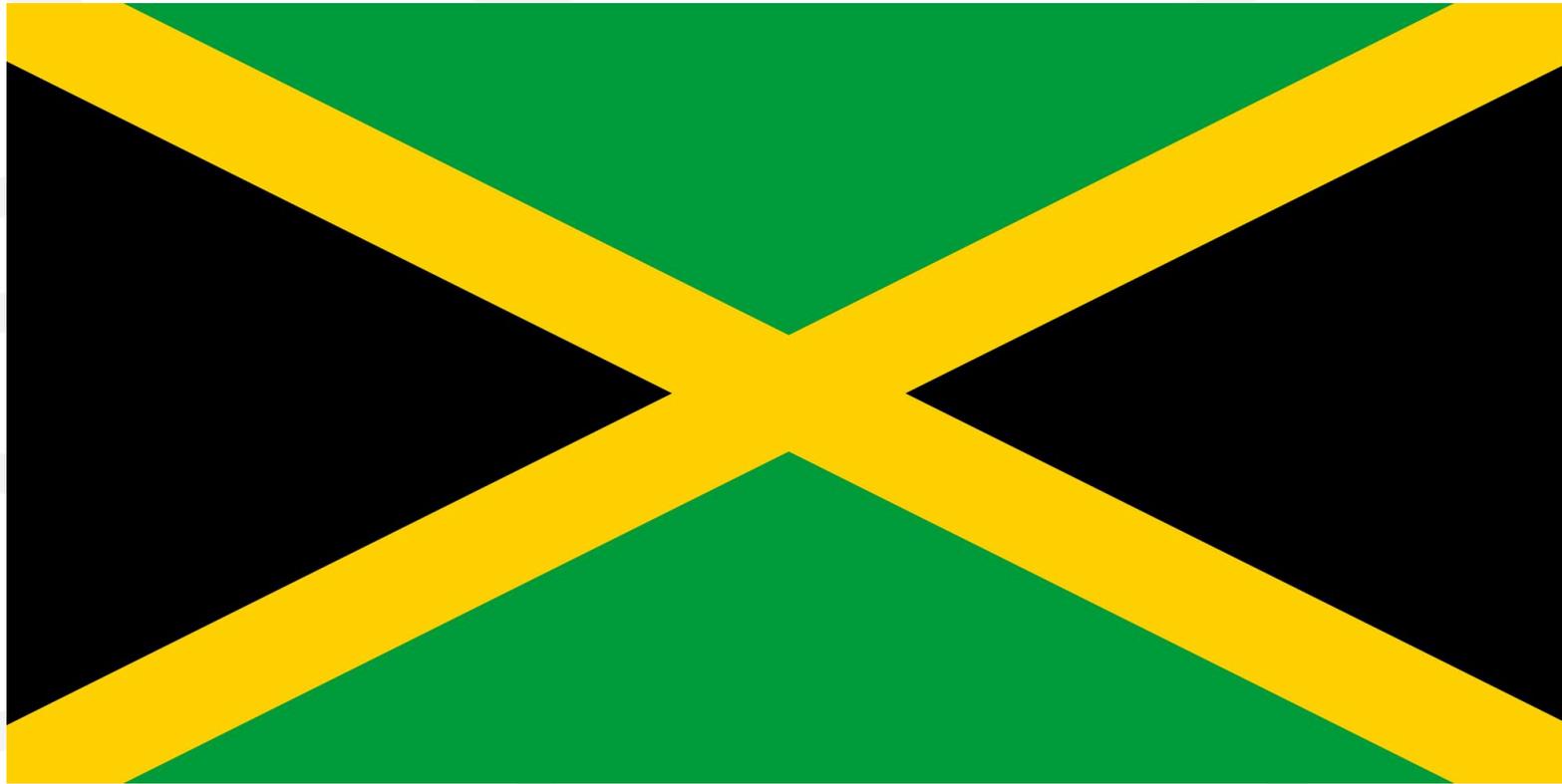
- Review of clarity and presentation of the IFPP
- Initial analysis exposed for comment

# 6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration



- The steering committee is asked to take note of the report

# 8. Format and proposed dates for 2021 CBC meeting



## 9. Any other business

- Information item from SAI Peru

# SAI PERU INITIATIVES

## International Observatory

Government and SAI initiatives  
in relation to COVID-19

OLACEFS

ORGANIZACIÓN LATINOAMERICANA Y DEL CARIBE  
DE ENTIDADES FISCALIZADORAS SUPERIORES



INTOSAI

Supervisory  
Committee on  
Emerging Issues (SCEI)

### Government and SAI initiatives in relation to COVID-19

- AFROSAI
- ARABOSAI
- ASOSAI
- CAROSAI
- EUROSAI
- OLACEFS
- PASAI
- OTHERS INTOSAI



- The initiatives were obtained from the media in each country. The coloured ones are the ones that were mapped.
- This information should be used only as a reference.
- To view the initiatives by region, please click on the region name in the box.

Concurrent control model  
as the driving core of a  
preventive, prompt and timely  
approach to government  
control in Peru

Econ. Nelson Shack Yalta



Lima, Perú, August, 2019

<https://observatorioefs.contraloria.gob.pe/>

## 9. Any other business

- Information on October CBC webinars

# 10. Closing remarks



**Thank you!**

Information about the meeting will be available on the  
CBC website under the “Digital Meetings” tab