CBC Steering Committee
Virtual meeting, 15 September 2020

Please write your name, organization and country in the chat

Function:

Example:  Peter Pan, Office of the Auditor General, Never Never Land
Please use the chat function to communicate

• During the formal part of the meeting, you are kindly asked to please keep your microphones on mute and your cameras off unless you are speaking

• If you would like to speak, please indicate by posting “I want to speak” using chat function – this will help us manage the order of speakers (please do not raise your “hand”)

Procedure for voting

• When asked whether or not you support a report or decision, we kindly ask that you use the *chat function* to indicate your view, but only if you do not agree (this will help us keep the process simple and help us save time)

• Also please remember that only one representative per steering committee member can vote, and that observers have no right to vote
Agenda

1. Welcome | approval of agenda
2. Report by CBC Chair
3. CBC work streams’ progress and documents approved
4. Brief overview of outcomes of CBC June 2020 webinars
5. SAI PMF:
   a. SAI PMF governance
   b. SAI PMF implementation
   c. SAI PMF Independent Advisory Group report
6. Report on INTOSAI strategic planning process
7. Report on goal chair collaboration, INTOSAI Crosscutting Priority 3
8. Format and proposed dates for 2021 meeting
9. Any other business
10. Closing remarks
1. Approval of the agenda

• The steering committee is asked to approve the agenda.
2. Report by CBC Chair – highlights during the last seven years

- New value proposition and strategy to build on past CBC successes
- Cooperative partnerships with internal and external stakeholders a key success factor
- More agile and flexible committee structure with new workstreams
- Responsibility to lead INTOSAI’s work on auditor professionalisation
2. Report by CBC Chair – highlights during the last seven years

- Governance lead for SAI PMF, and established the Independent Advisory Group
- Converted CBC meetings to platforms for strategic dialogues, including a regional forum
- Joined forces with PSC and KSC to establish FIPP, and QC for non-IFPP documents
- Launched dynamic CBC website
2. Report by CBC Chair – lessons learned

- Full integration of INTOSAI professional affairs
- Apply more flexible and collaborative work methods
- Need a strategic focus on the needs of the auditor
- We need to do more for all INTOSAI regional organisations
- Importance of data for relevant INTOSAI strategy and professional support
- More regular engagements and effective work processes
2. Report by CBC Chair

• The steering committee is asked to take note of the report.
3. CBC work streams’ progress and steering committee documents already approved

• The steering committee is asked to take note of the report.
4. Overview of outcomes of CBC June 2020 thematic webinars
9 June webinar on SAI relevance during COVID-19

Kimi Makwetu, Auditor-General of SA, Chair: Capacity Building Committee

Pamela Monroe-Ellis, Audit-General of Jamaica, Secretary-General of CAROSAI

Ed Olowo-Okere, Global Director, Governance Global Practice: World Bank, and Donor Chair: INTOSAI-Donor Cooperation

Helena Lindberg, Auditor-General of Sweden, Vice Chair of Capacity Building Committee

Einar Gørissen, Director-General: INTOSAI Development Initiative
4. Webinar on SAI-civil society cooperation and citizen engagement

Presenters included:

• SAIs (India, Phillipines Peru, Netherlands, Jamaica, South Africa)
• Donors (European Commission, World Bank, GIZ)
• Civil society organizations (International Budget Partnership, Jamaica Accountability Meter Meter Portal)

Main outcome:

• Framework for SAI engagement and cooperation with civil society
4. Overview of outcomes of CBC June 2020 thematic webinars

• The steering committee is asked to –
  • Take note of the report
  • Support the development of a Framework for SAI engagement and cooperation with civil society
## 5. SAI PMF governance

### SAI PMF Independent Advisory Group

<table>
<thead>
<tr>
<th>Member</th>
<th>Organization</th>
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<tbody>
<tr>
<td>Mr Carlos Sampaio de Freitas (Mr Horacio Vieira)</td>
<td>SAI Brazil</td>
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<tr>
<td>Ms Susanne Wille</td>
<td>European Commission (Chairperson)</td>
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<tr>
<td>Mr Sébastien Lepers (Mr Benjamin Negre)</td>
<td>SAI France</td>
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<tr>
<td>Ms Deborah Sprietzer (Ms Allizon Milicich)</td>
<td>Inter-American Development Bank</td>
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<td>Mr Trygve Christiansen</td>
<td>SAI Norway</td>
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<tr>
<td>Mr Håkon Mundal</td>
<td>Norwegian Agency for Development Cooperation</td>
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<tr>
<td>Ms Josephine Manalo</td>
<td>SAI Philippines</td>
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<tr>
<td>Ms Charmain Felter</td>
<td>SAI Suriname</td>
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<tr>
<td>Ms Natalie Bertsch</td>
<td>Swiss State Secretariat for Economic Affairs</td>
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<tr>
<td>Mr Hassine Boussandel</td>
<td>SAI Tunisia</td>
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5. SAI PMF governance – framework revision

**Phase 1 revision (2020–2022)**
Light revision to ensure framework is up to date

**Phase 2 revision (w.e.f. 2022)**
Extensive revision of 6-year old framework

**Phase 1 revision:**
- User-survey provided valuable data base of user-insights
- Align the SAI PMF with IFPP
- Add INTOSAI P-50 (Principles of jurisdictional activities of SAIs)
- Correct other outdated references
- Enhance the text for more clarity
5. SAI PMF communication strategy

- Identify specific communication needs
- Provide tailored communication via most appropriate channel
- Continue using broad channels to share success stories
# Progress against SAI PMF strategic outcomes

<table>
<thead>
<tr>
<th>SAI PMF Strategic Outcome</th>
<th>Related Outcome Indicator</th>
<th>Milestone 2020</th>
<th>Realization up to August 2020</th>
<th>Outlook end 2020</th>
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</table>
| Outcome 1: Establish SAI PMF as a widely recognized tool in the INTOSAI community | 1. Cumulative number of SAIs with a finalised SAI performance report  
   a) First time assessments  
   b) Repeat assessments  
   c) Published assessments | 85 | 68 | 88 |
|                          |                           | a) 70  
   b) 15  
   c) 20 | a) 62  
   b) 6  
   c) 13 | a) 75  
   b) 13 | |
| Outcome 2: High-quality, credible and relevant assessments | 2. % of conducted assessments finalized that includes an IR statement | 60% | 57% | 68% |
|                          | 3. % of conducted assessments that are reported as having been used as basis for SAI strategic planning and/or capacity building projects | 90% | 85% | 89% |
Regional implementation

SAI PMF Assessment Status by Region

- **AFROSAI-E**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **ARABOSAI**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **ASOSAI**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **CAROSAI**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **CREFIAF**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **EUROSAI**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **OLACEFS**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report

- **PASAI**
  - Assessment decided
  - In execution or draft report
  - Final report
  - Published report
Recent developments

- First SAI PMF courses delivered as eLearning
- Light touch revision of the SAI PMF framework initiated
- New guidance material
- 1321 Aggregate number of people trained
SAI PMF assessments in a time of crisis

Crisis

- Stakeholder perception/priorities may change
- New opportunities and threats materialising

Crisis response

- Long-term: revise strategic plan
- Short-term: revise operational plan

Build back better

SAI PMF Foundation

- Ensures decisions are based on evidence
- Holistic overview of strengths to leverage on/weaknesses to improve

"Supporting SAIs in strengthening performance and capacities"
Concrete plans:
- Basic training delivered as eLearning (end 2020)
- Basic training, advanced training and IR course (2021)
- Continuous support including IR, although remotely for now

Reflections on future implementation:
- Blended delivery - eLearning and face to face activities
- Risks:
  - not enough resources to conduct assessments
  - assessment may take longer
5. SAI PMF

The steering committee is asked to –

• Take note of the reports presented and thank the members of the IAG and the IDI

• Support the two-phased revision of the SAI PMF

• Approve the SAI PMF Communication Strategy 2020-22

• Endorse of the SAI PMF planning for the next year
<table>
<thead>
<tr>
<th>Task</th>
<th>Start</th>
<th>End</th>
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<tbody>
<tr>
<td>Internal &amp; external scan surveys</td>
<td>September 2020</td>
<td>November 2020</td>
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<tr>
<td>Share internal &amp; external scan findings with INTOSAI members</td>
<td>January 2021</td>
<td>April 2021</td>
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<tr>
<td>Draft strategic plan goals, activities, and objectives shared with INTOSAI members for initial review</td>
<td>May 2021</td>
<td>June 2021</td>
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<tr>
<td>Complete/share draft of strategic plan with all INTOSAI members</td>
<td>March 2022</td>
<td>July 2022</td>
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<tr>
<td>Issue Final INTOSAI Strategic Plan, 2023-2028</td>
<td>September/October 2022</td>
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6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration

Crosscutting Priority 3:
Coordination among PSC, CBC, KSC for SAI support and performance

Mapping of Goal 1, 2, 3 products and services:
✓ Further improvement of audit standards and guidance
✓ Continued development of capacity to implement standards
✓ Enhanced access to knowledge and good practices
✓ Competition and encouragement to push and pull innovation
6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration

**Forum for INTOSAI Professional Pronouncements:**
- Filling of vacancy
- FIPP effectively continuing its work

**Further improvement of IFPP:**
- Review of clarity and presentation of the IFPP
- Initial analysis exposed for comment
6 & 7: Report on INTOSAI strategic planning and Goal Chair Collaboration

- The steering committee is asked to take note of the report
8. Format and proposed dates for 2021 CBC meeting
9. Any other business

• Information item from SAI Peru
SAI Peru Initiatives

International Observatory
Government and SAI initiatives in relation to COVID-19

Government and SAI initiatives in relation to COVID-19

https://observatoriooefs.contraloria.gob.pe/
9. Any other business

- Information on October CBC webinars
10. Closing remarks
Thank you!

Information about the meeting will be available on the CBC website under the “Digital Meetings” tab.