

CBC work plan 2021
 IntoSAINT Self-Assessment of Integrity (SAI Mexico)
 Annual progress report (March 2023)

Key to progress indicator colours	
	Initiatives / projects on schedule
	Initiatives / projects behind schedule
	Delayed owing to reasons beyond WS control
	Serious difficulties being experienced
	Not yet scheduled to start
	Initiatives / projects completed

Strategic objective (as per SP 2017-22)	Strategies & initiatives (as per SP 2017-22)	Performance indicator	Action items and other comment
Strengthen structured INTOSAI professional development as an anchor for professional capacity development and potential INTOSAI auditor professionalization.	Advocate for and encourage the use of the IntoSAINT integrity tool to support SAIs as models of integrity, transparency and accountability.	Outreach activities at regional high-level encounters and at SAI level.	<p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> OLACEFS: With the implementation of the self-assessment tool in the SAIs of Puerto Rico, Panama and Venezuela, the application has been completed in the 22 SAIs that make up OLACEFS. In 5 countries the tool has been applied in various government entities. <p>There are currently 50 Certified Moderators from 18 countries that make up OLACEFS. It is important to mention that the workshops that took place in Panama, Puerto Rico and Venezuela during 2022, were carried out within the context of the new normal.</p> <p>Key next steps / action items:</p> <ul style="list-style-type: none"> Continuing with the work so that the SAI's will be able to replicate the application of the tool, so it is expected to have more activities during this 2023. <p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest, resources, or a new uptick from the COVID-related pandemic.
		Outreach activities at regional high-level encounters and at SAI level.	<p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> PASAI: SAI of New Zealand in 2019 trained 12 IntoSAINT moderators from the SAIs of New Zealand, Australia, Cook Island and Samoa, and representatives from Mexico attended New Zealand to complete the training. <p>Key next steps / action items:</p>

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			<ul style="list-style-type: none"> Continuing with the work so that the SAI's will be able to replicate the application of the tool, so it is expected to have more activities during this 2023 <p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest, resources, or a new uptick from the COVID-related pandemic.
		Generation of materials and articles.	<p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> The Technical Committee of AFROSAI, in charge of the implementation of the Strategic Plan, has created a Working Group that leads the work of transparency and responsibility on a project of materials in French, however, they have faced difficulties to carry it out, as well as the pandemic generated by COVID-19, stopped the efforts to achieve it. AFROSAI-E has held a Workshop with the updated materials for AFROSAI-E and that they are working to have compact and dedicated materials for a training set, which is better and proven; this set is integrated on the one hand with online sessions and is easily accessible to all. PASAI: Has worked on a new Guide for Moderators, which basically documents, compiles and the advice and training received from the SAI of Mexico in 2019, and is now documented in this Guide, which serves as support for the work of the organizers of the Workshops, that integrates the preparations, the things that they must focus on to carry them out during the workshop and facilitate logistics, the delivery of reports, workshop schedules and things like that and help the integration of the workshop. <p>The guide was completed in October of 2022; and has been socialized among some colleagues. The guide has been prepared as a global asset for use by all and not only for PASAI.</p> <p>They are working now to integrate inputs from those that have piloted it, to ensure it has everything included that is needed.</p> <p>Key next steps / action items:</p> <ul style="list-style-type: none"> Continuing with the work to get the final version of the Guide for Moderators. <p>Key risk(s):</p>

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			<ul style="list-style-type: none"> Lack of interest or resources.
		Application of self assessments of integrity in SAIs especially in those regions with less progress	<p><u>Progress to date:</u> In February 2023, the following was informed: AFROSAI and EUROSAI have implemented the self-assessment tool in Georgia in the previous two years and the self-assessment tool is planned to be implemented in North Macedonia in 2023.</p> <p><u>Key next steps / action items:</u></p> <ul style="list-style-type: none"> Continuing with the work with the application of the self-assessments of integrity now that COVID conditions are ending and focus on the countries with more delay. <p><u>Key risk(s):</u></p> <ul style="list-style-type: none"> Lack of interest, resources.
		Promotion of self assessments of integrity in public sector organizations (beyond SAIs).	<p><u>Progress to date:</u> In February 2023, the following was informed:</p> <ul style="list-style-type: none"> ASEANSAI: The IntoSAINT methodology was implemented in 2015. There was an IntoSAINT Pilot Assessment Workshop conducted in October 2015 participated by 25 auditors. Prior to the incorporation of the IntoSAINT methodology, there was a law issued by the President of the Republic of the Philippines, Executive Order no. 176 s. 2014, which institutionalized an Integrity Management Program in all government offices, including the SAI of the Philippines. PASAI informed that: <ul style="list-style-type: none"> Assessments were carried out in New Zealand, Australia, Cook Island and Samoa. Support in Samoa and Cook Islands included assessment of one public entity in in each country, Ministry of Finance in Samoa and Ministry of Agriculture in Cook Islands. During the COVID-19 pandemic, SAI Samoa, has continued to use the IntoSAINT methodology, to assess Central Government Agencies, 12 assessments have been completed and 3-4 are planned, which will mean all central agencies have been assessed. Samoa is now following up entity actions through its annual audit processes. New Zealand is working on arrangement to continue the

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			<p>training of Samoan personnel, since a member of the team that was trained, is no longer active in the SAI.</p> <p>While Samoa has trained staff themselves New Zealand wants to verify the quality of such training and accompaniment, particularly in the public sector the proper use of the tool, so that the credibility of the tool is maintained.</p> <p>It is important to be very careful with the quality, New Zealand are currently talking with SAI Samoa arrange training timing following a visit at the end of the 2022 year and that they are waiting for it, to give continuity to the training.</p> <ul style="list-style-type: none"> ○ In 2020, at least 8 SAI's in PASAI were interested in receiving assistance to implement the tool, and tentative plans were in place for another round of training of moderators be carried out in the region. <p>These plans are now being revisited, now that border is open again. The PASAI Congress is currently in progress and Sarah is discussing interest in IntoSAINT and desire to include it as part of PASAI's future strategy.</p> <p>Key next steps / action items:</p> <ul style="list-style-type: none"> • Continuing with the work with the application of the self-assessments of integrity now that COVID conditions are ending and focus on the countries with more delay. <p>Key risk(s):</p> <ul style="list-style-type: none"> • Lack of interest, resources, or a new uptick from the COVID-related pandemic.
		<p>Continue with the enriching and harmonization process of the materials in all the INTOSAI official languages.</p>	<p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> • EUROSAI Pointed out that recently in coordination with AFROSAI-E, they have held a Workshop with the updated materials for AFROSAI-E and that they are working to have compact and dedicated materials for a training set, which is better and proven; this set contains an e-learning module, that currently is built by the NCA, and face to face training set. <p>Key next steps / action items:</p> <ul style="list-style-type: none"> • Continuing getting the material translated so that it can be presented to the members of the Project Group.

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		<p style="background-color: #9900cc; color: white; padding: 5px; text-align: center;">Launch instruments to measure the impact of the self assessments, such as follow up surveys and publications.</p>	<p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest or resources. <p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> ASEANSAI: The IMP Project Team/Technical Working Group of SAI Philippines developed strategies and processes to implement a COA-Wide Integrity Management Program. Therefore, in 2018 the obligation to have a manual was generated, incorporating in one of the Chapters of the Handbook, the IntoSAINT methodology. The TWG is now working on the completion of the Integrity Management Program Handbook-Enhancing and Sustaining a Culture of Integrity. The IntoSAINT tools are integrated in this Handbook as part of the IMP Implementation Guide- Conducting an Integrity Self-Assessment. <p>Key next steps / action items:</p> <ul style="list-style-type: none"> Continuing with the work to carry out the measurement of the impact of the self-assessments. <p>surveys and publications</p> <p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest or resources.
<p>Share capacity development insights and ensure responsiveness to SAI needs through, for example, communities of practice.</p>	<p>Advocate for and encourage the use of the IntoSAINT integrity tool to support SAIs as models of integrity, transparency and accountability.</p>	<p style="background-color: #9900cc; color: white; padding: 5px; text-align: center;">Organize trainings to certify new IntoSAINT moderators in the world.</p>	<p>Progress to date:</p> <p>In February 2023, the following was informed that:</p> <ul style="list-style-type: none"> CAROSAI: Indicated that the IntoSAINT program was stopped in 2019, just before the COVID-19 pandemic, the SAIs were conducting the evaluation and for the others that already had their recommendations, they were working on following them up. AFROSAI: Mentioned that in 2023 a moderator training was possible to handle it to do it in January and February. The IntoSAINT moderator training with 17 countries and 38 participants. <p>Key next steps / action items:</p>

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			<p>What is required at this time is the reincorporation of IntoSAINT</p> <ul style="list-style-type: none"> Continuing with the work to get adequate budget of funds, which allows the application of the tool to be made possible. <p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest, resources, or a new uptick from the COVID-related pandemic.
		<p>Hold virtual and in person activities among the workstream members, the Community of SAls, certified moderators, donors, civil society organizations, international organisms, and other relevant stakeholders</p>	<p>Progress to date:</p> <p>In February 2023, the following was informed:</p> <ul style="list-style-type: none"> ASEANSAI: In 2020 to 2021, four capacity building sessions on Integrity Assessment were conducted for Trainers, via online activities and face-to-face. SAI Mexico: In February of 2023 SAI Mexico as chair of the IntoSAINT CBC Project Group hold a Meeting with the IntoSAINT Members in order to receive an update from the IntoSAINT related to the Self-assessments and IntoSAINT training events; the rolling out of IntoSAINT in the public sector; the efforts and achievements and some considerations in the digitalization of IntoSAINT tools and next steps. <p>Key next steps / action items:</p> <ul style="list-style-type: none"> Continuing with the work to schedule measurement activities to carry out virtual and in person activities among the workstream members, the Community of SAls, certified moderators, donors, civil society organizations, international organisms, and other relevant stakeholders. <p>Key risk(s):</p> <ul style="list-style-type: none"> Lack of interest, resources, or a new uptick from the COVID-related pandemic.
		<p>Use of electronic tools, including the KSC IDI Community platform, as well as any other complementary instruments available.</p>	<p>Progress to date:</p> <p>In February 2023, the following was informed that:</p> <ul style="list-style-type: none"> SAI Norway has been working on in recent months to explore the possibility of simplifying it, for the work of the moderators and in their SAI, so now they have a software platform used for searches, questionnaires, audit questionnaires and for internal services of their organization, with a very good experience and based on the "Questback" platform, the updated material provided by New Zealand was used and electronic services were designed, from the main questionnaire of IntoSAINT,

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			<p>and Netherlands SAI, was invited to ensure quality, in North Macedonia, it will be the pilot project, for the first time and it will be applied in March of 2023.</p> <p><u>Key next steps / action items:</u></p> <ul style="list-style-type: none"> Continuing with the work to analyze the tools that other countries have designed such as the experience of SAI Norway and see how the good practices detected can be implemented. <p><u>Key risk(s):</u></p> <ul style="list-style-type: none"> Lack of budget, or interest.