

Template work plan for CBC workstream 2023-2025

INTOSAI will support SAIs in developing their capacity to maximize the value and benefit they bring to society by promoting the development of their professional capacities and capabilities. With an ambition to “leave no one behind,” Goal 2 informs INTOSAI decision-making on sustainable SAI capacity development in support of all INTOSAI members.

Goal 2 Strategic Objective	Workstream objective	Initiatives (timed)	Indicators	Risk assessment
<p>.1 Promote, facilitate and implement INTOSAI-wide initiatives in support of SAI capacity development needs.</p> <p>2.2. Strategically partner, inside and outside INTOSAI, in support of DSAI professionalism, auditor professionalization, and SAIs’ ability to sustainably meet future challenges</p> <p>2.3 Share capacity development insights and practices and facilitate dialogue on capacity development challenges and opportunities.</p>	<p>Promote the work related to IntoSAINT (application of the Self-Assessment of Integrity) in SAIs and in other public sector organizations.</p> <p>Support and facilitate implementations of self-assessments of integrity in SAIs and in public sector organizations in all INTOSAI regions</p>	<p>1 Update the workstream membership and actively solicit members from non-represented INTOSAI regions. (Starting: Q3-2023, ending Q4 2023).</p> <p>Identify relevant actors to further strengthen IntoSAINT’s global reach. (Starting: Q3 2023, ending Q1 2024).</p> <p>Once those who can assist to strengthen global reach are identified, work to establish new partnerships to further increase and strengthen IntoSAINT’s presence within INTOSAI. (Starting: Q3 2023, ending Q1 2024).</p> <p>Supporting events to strengthen understanding and to increase the implementation of IntoSAINT and SAINT in public sector organizations, across all of the INTOSAI regions. (Starting Q3 2023, ending Q4 2025</p>	<ul style="list-style-type: none"> • Efforts to establish focal points to support IntoSAINT rollout with representation from each region. (Starting: Q3 2023, ending Q4 2023). • Implementation of IntoSAINT in SAIs on the agenda of the regional strategic plans in the CBC IntoSAINT workstream regions. (Starting: Q3-2024, ending Q2 2025). • In participating regions, a minimum of 3 SAIs have performed a self-assessment with the updated materials. (Starting Q4 2023, ending Q1-2025). • In a minimum of two participating regions individual SAIs are working on implementation of SAINT in public sector organizations and will be followed up accordingly. (Starting Q4- 2023, ending Q4 2025). 	<ul style="list-style-type: none"> • Low Regional Representation: Failure to recruit new members from underrepresented INTOSAI regions could lead to biased decision-making and limited global implementation. • Failure to Identify Key Stakeholders: Delays in identifying relevant stakeholders may restrict the expansion of IntoSAINT’s presence, missing critical allies for strengthening the initiative. • Challenges in Building Partnerships: Inability to establish alliances with key stakeholders could weaken IntoSAINT’s influence and sustainability within INTOSAI, limiting its impact on the public sector. • 4. Resource Allocation Constraints: Insufficient resources or delays in allocation could impede timely progress across regions, affecting the consistent implementation of IntoSAINT. • 5. Limited Engagement in Supporting Events: Lack of interest or participation in events promoting IntoSAINT and SAINT may result in low awareness and reduced program adoption across INTOSAI regions
	<p>Maintain high – quality materials to support the implementation the</p>	<p>Update and issue handbook, workshop materials and guidance. (Starting: Q2- 2023, ending Q4 2023).</p>	<ul style="list-style-type: none"> • Updated workshop materials and training package are available. (Starting Q3 2023, ending Q4 2023). 	<ul style="list-style-type: none"> • Delayed Materials Update: Delay in updating and issuing handbooks, workshop materials, or guidance could

<p>IntoSAINT and SAINT tool (self-assessments of integrity). Ensure all people responsible for facilitation of IntoSAINT and SAINT workshops are trained to deliver high quality implementation of the self – assessments of integrity in all regions of the CBC Workstream regions.</p>	<p>Develop and issue e-learning training modules for new facilitators and interested parties. (Starting: Q2 2023, ending Q4 2023). Develop and issue face-to-face training materials and training guide, (stage two of facilitator training process) to train facilitators. (Starting: Q3- 2023, ending Q4 2023). Implementing training for existing moderators to ensure that the experts on the IntoSAINT methodology are sufficient and duly qualified. (Starting: Q1 2024, ending Q4 2024). Implementing training for new facilitators in each region. (Starting: Q4-2023, ending Q2 2025).</p>	<ul style="list-style-type: none"> All participating regions have a pool of certified facilitators, being able to use the updated material. (Starting Q1 2024 – ending Q4 2024). 	<p>hinder consistent training delivery and content accuracy.</p> <ul style="list-style-type: none"> E-learning Development Lag: Delays in developing e-learning modules could restrict access to training for new facilitators and interested parties, impacting program readiness. Face-to-Face Training Constraints: Challenges in creating face-to-face training materials may disrupt the facilitator training process, leading to inconsistencies in facilitator skills. Expert Moderators Shortage: Insufficient or delayed training for existing moderators could result in a lack of qualified experts in the IntoSAINT methodology, affecting program quality. Limited New Facilitator Training: Slow implementation of new facilitator training in regions may lead to regional gaps in qualified facilitators, reducing program effectiveness and reach.
<p>Broaden the financing/support of the activities related to IntoSAINT to encourage increased use of the tool (self-assessment of integrity) in SAls and public sector organizations</p>	<p>Identify relevant actors to further strengthen and involve global and regional donor partners that may support the application of IntoSAINT/SAINT in the regions or individual countries. (Starting Q3-2023, ending Q2 2025). Contact is made with funding entities for support activities related to IntoSAINT and the application of self-assessment of integrity in SAls and public sector organizations. (Starting Q4-2023, ending Q3 2025).</p>	<ul style="list-style-type: none"> There have been efforts in coordination with the Secretariat of CBC to access funding for implementing IntoSAINT/SAINT. (Starting: Q3 2023, ending Q4 2025). 	<ul style="list-style-type: none"> Identify relevant actors to further strengthen and involve global and regional donor partners that may support the application of IntoSAINT/SAINT in the regions or individual countries. (Starting Q3-2023, ending Q2 2025). Contact is made with funding entities for support activities related to IntoSAINT and the application of self-assessment of integrity in SAls and public sector organizations. (Starting Q4-2023, ending Q3 2025).
<p>Share knowledge among the different stakeholders involved</p>	<p>Identifying opportunities for lessons learned meetings in and between the regions. (starting Q3 2024, ending Q4 2024). Implementing lessons learned meetings accordingly. (Starting Q2 2025, ending Q4 2025).</p>	<p>In each participating INTOSAI regions lessons learned meeting have taken place. (starting Q1 2025, ending Q3- 2025).</p>	<ul style="list-style-type: none"> Inadequate Engagement with Donor Partners: The risk of not effectively identifying or engaging key global and regional donor partners, potentially limiting the support and resources necessary for the successful application of IntoSAINT/SAINT across specific regions and countries.

Document lessons learned related to IntoSAINT tools and analyze how have the regions been working in the public sector. (Starting Q3 2025, ending Q4 2025).

- Compliance Risks Dashboard - Risks to Achieving Lessons Learned Objectives for IntoSAINT
1. Insufficient Identification of Opportunities for Lessons Learned Meetings: Risk of not effectively identifying opportunities for lessons learned meetings within and between regions, which could limit knowledge sharing and collaboration on IntoSAINT practices.
- Challenges in Implementing Lessons Learned Meetings: Risk of delays or ineffective execution of planned lessons learned meetings, potentially reducing the effectiveness of these sessions in fostering regional understanding and improvement of IntoSAINT tools.
- Incomplete Documentation and Analysis of Lessons Learned: Risk of inadequate documentation and analysis of lessons learned from IntoSAINT tools, which may hinder the ability to evaluate regional practices and adapt strategies effectively within the public sector.

Instructions for preparing the work plan

Column 1 includes the strategic objectives that are relevant to the workstream.

Column 2 states the workstream objective as written in the terms of reference.

Column 3 constitutes the high-level workplan (brief bullet points) for the workstream, including indication of when the work is expected to be completed (month, year).

Column 4 constitutes high-level indicators of how we verify that the initiatives in column 3 have been completed.

Column 5 lists the high-level risk assessment of the most important risks that may undermine the achievement of the workstream's objectives.